

Số/No: 2301.01/2025/CV-CKCT12

Hà Nội, ngày 23 tháng 01 năm 2025
Hanoi, January 23rd, 2025

CÔNG BỐ THÔNG TIN TRÊN CỘNG THÔNG TIN ĐIỆN TỬ
DISCLOSURE OF INFORMATION ON THE PORTAL

Kính gửi/To : - Ủy ban Chứng khoán Nhà nước/ The State Securities Commission
- Sở Giao dịch Chứng khoán Việt Nam/ Vietnam Stock Exchange
- Sở Giao dịch Chứng khoán Tp.Hồ Chí Minh/ Ho Chi Minh Stock Exchange
- Sở Giao dịch Chứng khoán Hà Nội/ Hanoi Stock Exchange

- Tên tổ chức: Công ty Cổ phần chứng khoán Ngân hàng Công thương Việt Nam
The Organization name: Vietnam Bank for Industrial and Trade Securities Joint Stock Company
- Mã chứng khoán: CTS
Stock symbol: CTS
- Địa chỉ trụ sở chính: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Tảo, Quận Bắc Từ Liêm. TP Hà Nội.
Head office address: Floor 1st to 4th, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Ha Noi.
- Điện thoại/Tel: 024. 3974 1771 Fax: 024. 3974 1760
- Website: <http://www.cts.vn> Email: congbothongtin@cts.vn
- Người thực hiện công bố thông tin/ Submitted by:
 - Họ và tên/Name: **Trần Phúc Vinh/ Tran Phuc Vinh**
Chức vụ/ Position: Chủ tịch Hội đồng quản trị/Chairman of the Board of Directors
 - Địa chỉ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Tảo, Quận Bắc Từ Liêm, TP Hà Nội
Address: Floor 1st to 4th, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Ha Noi.
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- Loại thông tin công bố: ☒ Định kỳ ☐ Bất thường ☐ Theo yêu cầu
Information disclosure type: ☒ Periodic ☐ Irregular ☐ On demand
- Nội dung thông tin công bố/ Content of information disclosure:
Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam công bố thông tin Báo cáo tình hình quản trị công ty năm 2024.

Vietnam Bank for Industry and Trade Securities Joint Stock Company announces the Report on Corporate Governance in 2024.



Kinh

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 22/01/2025 tại đường dẫn: <https://www.cts.vn/2025/01/23/vietinbank-securities-cbtt-bao-cao-tinh-hinh-quan-tri-cong-ty-nam-2024-2/>

This information was disclosed on the Company's website on January 23rd, 2025. Available at: <https://www.cts.vn/2025/01/23/vietinbank-securities-cbtt-bao-cao-tinh-hinh-quan-tri-cong-ty-nam-2024-2/>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./.

We declare that all information provided in this paper is true and accurate; We shall be legally responsible for any misrepresentation.

Tài liệu kèm theo/Attach files:

- Báo cáo tình hình quản trị công ty năm 2024/ Report on Corporate Governance in 2024

Nơi nhận/ Recipients:

- Như trên/ As above;
- Lưu/ Archives TCHC, VP.HĐQT
/Administrative Organization
Department, BOD Assistant Office.

ĐẠI DIỆN TỔ CHỨC

ORGANIZATION REPRESENTATIVE

NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT

LEGAL REPRESENTATIVE

CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ

CHAIRMAN OF THE BOARD OF DIRECTORS



Trần Phúc Vinh/ Tran Phuc Vinh



Bonding

No: *27* /2025/BC-HDQT-CKCT

Hanoi, January 23, 2025

REPORT ON
CORPORATE GOVERNANCE
(2024)

To:

- The State Securities Commission
- Vietnam Stock Exchange
- Ho Chi Minh City Stock Exchange
- Hanoi Stock Exchange

- Name of company: **VIETNAM BANK FOR INDUSTRIAL AND TRADE SECURITIES JOINT STOCK COMPANY**
- Address of headoffice: Floor 1st to 4th, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi
Telephone: 024.39741771; Fax: 024.39741760; Email: vanphong@cts.vn
- Charter capital: **1,487,383,110,000 VND** (*One thousand four hundred eighty seven billion three hundred eighty three million one hundred ten thousand dong*).
- Stock symbol: **CTS**
- Corporate governance model: General Meeting of Shareholders (GMS), Board of Directors (BOD), Board of Supervisors and General Director (CEO).
- The implementation of internal audit: Implemented (The Company has an Internal Audit Department under the Board of Directors)

I. Activities of the General Meeting of Shareholders:

In 2024, Vietnam Bank for Industry and Trade Securities Joint Stock Company ("the Company") held 01 General Meeting of Shareholders, the 2024 Annual General Meeting of Shareholders. The 2024 Annual General Meeting of Shareholders was held by the Company at 07:30 on April 2, 2024 in-person, on the 4th Floor, Building N02-T2, Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi.

The Resolution of the Company's 2024 Annual General Meeting of Shareholders takes effect from April 2, 2024.

The Resolution and Minutes of the General Meeting of Shareholders have been disclosed by the Company in accordance with regulations. Specifically, the contents approved by the 2024 Annual General Meeting of Shareholders are as follows:

No.	Resolution/Decision No.	Date	Content
1	01/2024/NQ-DHĐCĐ-CKCT	April 02, 2024	<p>Main contents of the Resolution of the 2024 Annual General Meeting of Shareholders:</p> <ol style="list-style-type: none"> 1. Approval of the Board of Directors' Report on the Company's business management in 2023, the governance and performance of the Board of Directors and members of the Board of Directors in 2023 and the Business orientation in 2024 2. Approval of the Board of Supervisors' Report on the activities and results of the implementation of assigned tasks and powers of the Board of Supervisors in 2023 and the orientation of operations in 2024. 3. Approval of the Company's 2023 Financial Statements audited by Deloitte Vietnam Audit Company Limited. 4. Approving the profit distribution, specifically: <ul style="list-style-type: none"> - Approval of the 2023 Profit Distribution Plan (<i>accordingly, the Company plans to increase its charter capital through issuing shares to pay dividends and will seek the opinion of the General Meeting of Shareholders after receiving approval from the competent authority</i>) - Approving the adjustment of the amount of remuneration for the Board of Directors and the Board of Supervisors from the realized profit after tax in 2022 according to the 2022 profit distribution plan approved by the 2023 Annual

No.	Resolution/Decision No.	Date	Content
			<p>General Meeting of Shareholders in Resolution No. 01/2023/NQ-ĐHĐCĐ-CKCT dated March 27, 2023</p> <p>5. Approval of the 2024 Business, Profit and Dividend Plan. The General Meeting of Shareholders unanimously authorized the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company to proactively review, research and adjust the 2024 business, profit and dividend plan in accordance with the actual situation and make information disclosure in accordance with the provisions of law.</p> <p>6. Approve the List of independent auditing companies and authorize the Board of Directors of the Company to decide to select one (01) out of four (04) independent auditing companies (Deloitte Vietnam Co., Ltd. , Ernst & Young Vietnam Co., Ltd., KPMG Co., Ltd., Price Waterhouse Coopers Vietnam Co., Ltd.) to review/audit the Company's 2024 semi-annual/annual Financial Statements and Financial Safety Ratio Report, ensuring quality, efficiency at reasonable costs and in accordance with the provisions of law</p> <p>7. Approval of the total remuneration, bonuses and other benefits of the Board of Directors and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors in 2024.</p> <p>8. Approve the amended, supplemented and consolidated contents of the Charter of Organization and Operation, Internal Regulations on Corporate Governance, Regulations on Operation of the Board of Directors of the Company; Assign the authorized person to sign and issue the Charter of</p>

No.	Resolution/Decision No.	Date	Content
			<p>Organization and Operation, Internal Regulations on Corporate Governance, Regulations on Operation of the Board of Directors of the Company consolidating the amended and supplemented contents approved by the General Meeting of Shareholders.</p> <p>9. Approving the Personnel Proposal and electing members of the Board of Directors and the Company's Boards of Supervisors, specifically:</p> <p>9.1. The number of elected Board of Directors' member is five (05) members, including at least one (01) independent member.</p> <p>9.2. The number of elected Supervisors is three (03) Supervisors.</p> <p>9.3. The tenure of the elected Board of Directors' member and Supervisors is five (05) years from April 10, 2024 to April 9, 2029.</p> <p>9.4. Approving members of the Board of Directors/Supervisors elected at the 2024 Annual General Meeting of Shareholders shall take over the work and assume the duties of the Board of Directors/Supervisors of the Company from April 10, 2024</p> <p>10. To elect the following parties as members of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company from April 10, 2024:</p> <ul style="list-style-type: none"> - Mr. Tran Phuc Vinh - Mr. Vu Duc Manh

No.	Resolution/Decision No.	Date	Content
			<ul style="list-style-type: none"> - Mr. Dang Anh Hao - Ms. Ho Thi Thu Hien - Ms. Pham Thi Huyen Trang - Independent member of the Board of Directors. <p>11. To elect the following parties as Supervisors of Vietnam Bank for Industry and Trade Securities Joint Stock Company from April 10, 2024</p> <ul style="list-style-type: none"> - Ms. Phan Thi Thu Hang - Ms. Lam Thi Thu Huong - Ms. Tran Thi Kim Oanh

II. Board of Directors (2024):

1. Information about the members of Board of Directors:

No.	Board of Directors' members	Position	The date of becoming/ceasing to be member of the Board of Directors/an Independent member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Tran Phuc Vinh	Chairman of the Board	November 11, 2021 ⁽¹⁾	-
2	Mr. Vu Duc Manh	Board Member	January 10, 2022	-
3	Mr. Dang Anh Hao	Board Member	April 27, 2020	-
4	Ms. Ho Thi Thu Hien	Board Member	April 27, 2020	-
5	Ms. Nguyen Thi Thu Hang	Independent Member of Board of Directors	July 04, 2022	April 10, 2024 ⁽²⁾
6	Ms. Pham Thi Huyen Trang	Independent Member of Board of Directors	April 10, 2024 ⁽³⁾	-

(1) Mr. Tran Phuc Vinh was elected by the Company's 2020 Annual General Meeting of Shareholders as a member of the Company's Board of Directors on April 27, 2020 and was elected by the Company's Board of Directors to hold the position of Chairman of the Board of Directors from November 11, 2021.

The terms of membership of the Board of Directors of Mr. Tran Phuc Vinh, Mr. Vu Duc Manh, Mr. Dang Anh Hao, Ms. Ho Thi Thu Hien and the term of independent member of the Board of Directors of Ms. Nguyen Thi Thu Hang ended on April 9, 2024 (approved by the Company's General Meeting of Shareholders), and at the 2024 Annual General Meeting of Shareholders held on April 2, 2024 of the Company, the 2024 Annual General Meeting of Shareholders continued to elect Mr. Tran Phuc Vinh, Mr. Vu Duc Manh, Mr. Dang Anh Hao, Ms. Ho Thi Thu Hien as Members of the Board of Directors and elected Ms. Pham Thi Huyen Trang as an Independent Member of the Board of Directors with a term of 05 (five) years from April 10, 2024 to April 9, 2029, then, Mr. Tran Phuc Vinh continued to be elected by the Company's Board of Directors to hold the position of Chairman of the Board of Directors for a term of 05 (five) years from April 10, 2024.

(2) Ms. Nguyen Thi Thu Hang was elected by the Company's 2nd Extraordinary General Meeting of Shareholders in 2022 held on July 4, 2022 as an independent member of the Company's Board of Directors for a term from July 4, 2022 to April 9, 2024

(3) Ms. Pham Thi Huyen Trang was elected by the Company's 2024 Annual General Meeting of Shareholders held on April 2, 2024 as an Independent Member of the Company's Board of Directors for a term of 05 (five) years from April 10, 2024 to April 9, 2029

2. Meetings of the Board of Directors ⁽⁴⁾:

No.	Board of Directors' member	Number of meetings attended by Board of Directors	Meeting attendance rate	Reasons for absence
From 01 January 2024 to 30 June 2024				
1	Mr. Tran Phuc Vinh	27/27	100%	-
2	Mr. Vu Duc Manh	27/27	100%	-
3	Mr. Dang Anh Hao	27/27	100%	-
4	Ms. Ho Thi Thu Hien	27/27	100%	-
5	Ms. Nguyen Thi Thu Hang	08/08	100%	No longer an Independent member of the Board of Directors from April 10, 2024

No.	Board of Directors' member	Number of meetings attended by Board of Directors	Meeting attendance rate	Reasons for absence
6	Ms Pham Thi Huyen Trang	19/19	100%	Becoming to an Independent Member of the Board of Directors on April 10, 2024

(4) Excluding cases of written opinion.

3. Supervising Board of General Directors by the Board of Directors:

The Board of Directors of the Company has supervised the Board of General Directors to exercise the rights and duties assigned in accordance with the provisions of law, the Charter of Organization and Operation of the Company (hereinafter referred to as the " **Company Charter** ") and the Resolutions of the General Meeting of Shareholders/Board of Directors, ensuring the legitimate rights and interests of the Company and shareholders.

- The Board of Directors of the Company has regularly supervised and promptly directed the Board of Directors to organize the implementation of the business plan objectives and targets assigned by the General Meeting of Shareholders, as well as the implementation of the Company's action plans; supervised the management and operation of business on the basis of the Company's Charter, the Enterprise Law, the Securities Law and guiding documents as well as regulations and instructions of competent management agencies. The Board of Directors has also requested the Board of Directors of the Company to periodically/unscheduledly report on the implementation of business operations, management and risk management of the Company's activities, thereby the Board of Directors has promptly directed to handle difficulties as well as have appropriate orientations for the Company's business activities, management and operation and risk management at each time/in each period.
- The Board of Directors has promulgated/approved the issuance, amendment and supplementation of regulations, rules and made relevant decisions in the process of implementing governance work in accordance with the provisions of the Company Charter, Internal regulations on corporate governance and the Board of Directors' operating regulations approved by the General Meeting of Shareholders; at the same time, it supervises the implementation and compliance by the Board of Directors with regulations, rules, decisions/resolutions of the General Meeting of Shareholders/Board of Directors to ensure the safety and effectiveness of the Company's operations and compliance with current laws.

4. Activities of the Board of Directors' subcommittees:

The Board of Directors does not establish subcommittees under the Board of Directors. However, to perform the functions and tasks of the Board of Directors, the Board of Directors/Chairman of the Board of Directors has:

- Assign duties to members of the Board of Directors in charge of specific areas of operation to perform the duties and powers of the Board of Directors comprehensively and fully, in compliance with the provisions of the Law, the Company's Charter and the Company's internal regulations.
- Continue to maintain and strengthen the role of the Internal Audit Department under the Board of Directors. The Internal Audit Department at the Company performs its functions and tasks in accordance with the provisions of Decree No. 05/2019'ND-CP dated January 22, 2019 of the Government on Internal Audit and Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance regulating the activities of securities companies, in addition, performs specific tasks assigned by the Board of Directors. Currently, the Internal Audit Department under the Company's Board of Directors has 01 person as Department Head.

5. Resolutions/Decisions of the Board of Directors (2024):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2024/NQ-HDQT-CKCT	January 03, 2024	Increase margin trading loan limit and advance payment limit for securities sales to securities account	100%
2	02/2024 NQ-HDQT-CKCT	January 09, 2024	Approval of loan implementation at Sai Gon VRG Investment Corporation	100%
3	03/2024/NQ-HDQT-CKCT	January 15, 2024	Approve trading plan for stocks	100%
4	04/2024/NQ-HDQT-CKCT	January 15, 2024	Approval of the Issuer's Proposed Plan relating to the Bonds	100%
5	05/2024/NQ-HDQT-CKCT	January 17, 2024	Evaluation and classification of the level of task completion in 2023 of the Units	100%
6	06/2024/NQ-HDQT-CKCT	January 17, 2024	Approve trading plan for stocks	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
7	07/2024/NQ-HDQT-CKCT	January 17, 2024	Approving the principles of staff assessment in 2023	100%
8	08/2024/NQ-HDQT-CKCT	January 18, 2024	Adjusting the stock ceiling price for clients	100%
9	09/2024/NQ-HDQT-CKCT	January 18, 2024	Approval of signing of Credit Facility Agreement	100%
10	10/2024/NQ-HDQT-CKCT	January 19, 2024	Reward Fund	100%
11	11/2024/NQ-HDQT-CKCT	January 19, 2024	Approval of Investment Portfolio and Capital Plan for Purchasing Fixed Assets in 2024	100%
12	12/2024/NQ-HDQT-CKCT	January 26, 2024	Approval of the signing of the Facility Agreement	100%
13	13/2024/NQ-HDQT-CKCT	January 26, 2024	Re-approving margin lending policy for clients	100%
14	14/2024/NQ-HDQT-CKCT	January 29, 2024	Implement the contents at the Board of Directors meeting in January 2024	100%
15	15/2024/NQ-HDQT-CKCT	January 29, 2024	Approval of the assignment of business plans to Units in 2024	100%
16	16/2024/NQ-HDQT-CKCT	January 29, 2024	Evaluation and classification of 2023 task completion for staff under the personnel management authority of the Board of Directors	100%
17	17/2024/NQ-HDQT-CKCT	January 31, 2024	Add customers and adjust margin lending policy for clients	100%
18	18/2024/NQ-HDQT-CKCT	February 01, 2024	Adjusting margin lending interest rates for clients	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
19	19/2024/NQ-HĐQT-CKCT	February 01, 2024	Approval of Internal Audit Plan for 2024	100%
20	20/2024/NQ-HĐQT-CKCT	February 02, 2024	Approval of Project Feasibility Study Report: Procurement of MobileTrading and WebTrading software for Vietinbank Securities	100%
21	21/2024/NQ-HĐQT-CKCT	February 02, 2024	Approve the Policy, Estimate the cost of moving and renting a location to place the Company's IT equipment system and server room at the outsourced data center.	100%
22	22/2024/NQ-HĐQT-CKCT	February 02, 2024	Adjusting margin lending policy for clients	100%
23	23/2024/NQ-HĐQT-CKCT	February 05, 2024	Organizing the 2024 Annual General Meeting of Shareholders	100%
24	24/2024/NQ-HĐQT-CKCT	February 05, 2024	Approval of the Draft and approval of the issuance of the revised and supplemented content of the Customer Development Cooperation Regulations	100%
25	25/2024/NQ-HĐQT-CKCT	February 06, 2024	Approval of loan implementation	80%
26	26/2024/NQ-HĐQT-CKCT	February 07, 2024	Adjustment of margin lending rates for clients	100%
27	27/2024/NQ-HĐQT-CKCT	February 07, 2024	Add more clients and adjust the stock margin lending policy and advance payment limit for securities sale to clients	100%
28	28/2024/NQ-HĐQT-CKCT	February 20, 2024	Approve the adjustment of the trading plan for stocks	100%
29	29/2024/NQ-HĐQT-CKCT	February 22, 2024	Approval of policy to send officials on business trips abroad	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
30	30/2024/NQ-HDQT-CKCT	February 22, 2024	Implement the contents at the Board of Directors meeting in February 2024	100%
31	31/2024/NQ-HDQT-CKCT	February 28, 2024	Approve the adjustment of the trading plan for stocks	100%
32	32/2024/NQ-HDQT-CKCT	March 01, 2024	Increase margin trading loan limit for stocks account	100%
33	33/2024/NQ-HDQT-CKCT	March 04, 2024	Approve cost estimates and select service providers to organize business trips of participating in Investment Promotion Conferences in Korea and Japan	100%
34	34/2024/NQ-HDQT-CKCT	March 05, 2024	Personnel work	100%
35	35/2024/NQ-HDQT-CKCT	March 05, 2024	Approval of signing of Facility Agreement	100%
36	36/2024/NQ-HDQT-CKCT	March 05, 2024	Adjusting the list of securities and margin lending policy for clients	100%
37	37/2024/NQ-HDQT-CKCT	March 05, 2024	Adjustment of margin lending rates for clients	100%
38	38/2024/NQ-HDQT-CKCT	March 05, 2024	Approve trading plan for stocks	100%
39	39/2024/NQ-HDQT-CKCT	March 05, 2024	Increase the advance payment limit for securities sales to Clients	100%
40	40/2024/NQ-HDQT-CKCT	March 14, 2024	Approval of signing of Facility Agreement Appendix	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
41	41/2024/NQ-HDQT-CKCT	March 15, 2024	Lending for margin trading of stocks and granting advance payment limits for securities sales to clients	100%
42	42/2024/NQ-HDQT-CKCT	March 15, 2024	Adjusting margin lending interest rates for clients	100%
43	43/2024/NQ-HDQT-CKCT	March 18, 2024	Approve trading plan for stocks	100%
44	44/2024/NQ-HDQT-CKCT	March 18, 2024	Approval of the content of the draft and approval of the issuance of the Company's audited Financial Statements for the fiscal year ending December 31, 2023	100%
45	45/2024/NQ-HDQT-CKCT	March 19, 2024	Re-approve margin lending policy and advance payment limit for securities sales for clients	100%
46	46/2024/NQ-HDQT-CKCT	March 21, 2024	Approval of signing of Facility Agreement	100%
47	47/2024/NQ-HDQT-CKCT	March 21, 2024	Personnel work	100%
48	48/2024/NQ-HDQT-CKCT	March 27, 2024	Re-approve margin lending policy and advance payment limit for securities sales to clients	100%
49	49/2024/NQ-HDQT-CKCT	March 27, 2024	Re-approve margin lending policy and advance payment limit for securities sales for clients	100%
50	50/2024/NQ-HDQT-CKCT	March 27, 2024	Re-approve the policy on lending for margin trading of stocks and advance payment limit for securities sales for clients	100%
51	51/2024/NQ-HDQT-CKCT	March 27, 2024	Re-approve margin lending policy and advance payment limit for securities sales for clients	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
52	52/2024/NQ-HDQT-CKCT	March 27, 2024	Signing of Non-disclose Agreements with MUFG (Related Person Transactions)	100%
53	53/2024/NQ-HDQT-CKCT	March 29, 2024	Approve the signing of the Contract of Amending and Supplementing the Loan Limit Contract	100%
54	54/2024/NQ-HDQT-CKCT	March 29, 2024	Approval of the final settlement of the Project Investment Capital for the Project "Renovation and repair of the rented location as the new Headoffice of the Vietnam Bank for Industry and Trade Securities Joint Stock Company"	100%
55	55/2024/NQ-HDQT-CKCT	March 29, 2024	Approval of the final settlement of the Project for completion of the Project "Purchasing fixed assets for the leased location as the new Headoffice of the Vietnam Bank for Industry and Trade Securities Joint Stock Company"	100%
56	56/2024/NQ-HDQT-CKCT	March 29, 2024	Adjustment of margin lending rates for clients	100%
57	57/2024/NQ-HDQT-CKCT	March 29, 2024	Collaborator service fee rate applied in Quarter II/2024	100%
58	58/2024/NQ-HDQT-CKCT	March 29, 2024	Approval of revaluation price for Fund Certificates in the portfolio as of March 29, 2024	100%
59	59/2024/NQ-HDQT-CKCT	March 29, 2024	Approval of revaluation price for stocks in the portfolio as of March 29, 2024	100%
60	60/2024/NQ-HDQT-CKCT	March 29, 2024	Labor force in 2024	100%
61	61/2024/NQ-HDQT-CKCT	April 01, 2024	Approval of loan implementation	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
62	62/2024/NQ-HDQT-CKCT	April 01, 2024	Approval of loan implementation	100%
63	63/2024/NQ-HDQT-CKCT	April 01, 2024	Implementing the contents at the Board of Directors meeting in April 2024	100%
64	64/2024/NQ-HDQT-CKCT	April 01, 2024	Approving the Program, content of documents for the 2024 Annual General Meeting of Shareholders of the Company and related matters	100%
65	65/2024/NQ-HDQT-CKCT	April 02, 2024	Personnel work	100%
66	66/2024/NQ-HDQT-CKCT	April 08, 2024	Approval of Treasury Shares Sale Plan	100%
67	67/2024/NQ-HDQT-CKCT	April 08, 2024	Increase loan volume, ceiling price and total margin trading loan limit for clients	100%
68	69/2024/NQ-HDQT-CKCT	April 08, 2024	Approval of the selection of rental location for Ho Chi Minh City Branch Headquarter	100%
69	70/2024/NQ-HDQT-CKCT	April 08, 2024	Approval of signing of Credit Facility Agreement	100%
70	68/2024/NQ-HDQT-CKCT	April 10, 2024	Election of Chairman of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
71	71/2024/NQ-HDQT-CKCT	April 15, 2024	Re-approve and adjust margin lending policies and advance payment limits for securities sales for customers	100%
72	72/2024/NQ-HDQT-CKCT	April 17, 2024	Adjustment of margin lending rates for clients	100%
73	73/2024/NQ-HDQT-CKCT	April 17, 2024	Division of Reward Fund and Welfare Fund	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
74	74/2024/NQ-HDQT-CKCT	April 22, 2024	Personnel work	100%
75	75/2024/NQ-HDQT-CKCT	April 22, 2024	Re-approve and adjust margin lending policies and advance payment limits for securities sales for clients	100%
76	76/2024/NQ-HDQT-CKCT	April 23, 2024	Reward Fund	100%
77	77/2024/NQ-HDQT-CKCT	April 23, 2024	Remuneration and benefits for independent members of the Board of Directors	100%
78	78/2024/NQ-HDQT-CKCT	April 24, 2024	Approval of the draft amendment and approval of the promulgation of the Contingency Plan for emergency situations occurring at Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
79	79/2024/NQ-HDQT-CKCT	April 24, 2024	Types of two margin trading loan accounts	100%
80	80/2024/NQ-HDQT-CKCT	April 25, 2024	Nomination of personnel to attend the 2024 Annual General Meeting of Shareholders of Kien Hung Joint Stock Company (KHS) and some related issues	100%
81	81/2024/NQ-HDQT-CKCT	April 25, 2024	Reward Fund	100%
82	82/2024/NQ-HDQT-CKCT	April 25, 2024	Approval of loan execution	100%
83	83/2024/NQ-HDQT-CKCT	May 06, 2024	Change margin trading loan account	100%
84	84/2024/NQ-HDQT-CKCT	May 06, 2024	Add margin trading lending clients	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
85	85/2024/NQ-HDQT-CKCT	May 06, 2024	Implementing the contents at the Board of Directors meeting in May 2024	100%
86	86/2024/NQ-HDQT-CKCT	May 07, 2024	Approval of signing of Facility Agreement at Joint Stock Commercial Bank for Foreign Trade of Vietnam - Tay Ho Branch	100%
87	87/2024/NQ-HDQT-CKCT	May 07, 2024	Approving the content of the draft amendment and approving the promulgation of the Standard Regulations for job titles at VietinBank Securities.	100%
88	88/2024/NQ-HDQT-CKCT	May 09, 2024	Approval of the 2024 Training Plan of Industry and Trade Securities Company	100%
89	89/2024/NQ-HDQT-CKCT	May 10, 2024	Re-approve the policy on lending for margin trading of stocks and the limit on advance payment for securities sales for clients	100%
90	90/2024/NQ-HDQT-CKCT	May 13, 2024	Adjusting some margin lending policies for clients	100%
91	91/2024/NQ-HDQT-CKCT	May 16, 2024	Adjusting margin lending rates for clients	100%
92	92/2024/NQ-HDQT-CKCT	May 17, 2024	Approval of loan execution	100%
93	93/2024/NQ-HDQT-CKCT	May 20, 2024	Approval of signing of the Credit Facility Agreement	100%
94	94/2024/NQ-HDQT-CKCT	May 21, 2024	Approval of loan execution	100%
95	95/2024/NQ-HDQT-CKCT	May 21, 2024	Approve trading plan for stocks	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
96	96/2024/NQ-HDQT-CKCT	May 22, 2024	Approval of the Policy and Cost Estimate for the 2024 Company Logo Gift Purchase Package	100%
97	97/2024/NQ-HDQT-CKCT	May 22, 2024	Adjusting some margin lending policies for clients	100%
98	98/2024/NQ-HDQT-CKCT	May 24, 2024	Approval of signing of Facility Agreement at Vietnam International Commercial Joint Stock Bank	100%
99	99/2024/NQ-HDQT-CKCT	May 24, 2024	Approval of signing of the Facility Agreement Appendix at Vietnam Technological and Commercial Joint Stock Bank	100%
100	100/2024/NQ-HDQT-CKCT	May 27, 2024	Lending for margin trading of stocks and granting advance payment limits for securities sales for clients	100%
101	101/2024/NQ-HDQT-CKCT	May 28, 2024	Rewards for outstanding collectives and individuals in 2023	100%
102	102/2024/NQ-HDQT-CKCT	May 28, 2024	Termination of International Cooperation Department, adjustment of organizational model of Professional Units at Industry and Trade Securities Company and some related contents	100%
103	103/2024/NQ-HDQT-CKCT	May 30, 2024	Approval of the draft content and approval of the promulgation of the Regulation on Prevention of Money Laundering, Terrorist Financing, and Proliferation of Weapons of Mass Destruction at Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
104	104/2024/NQ-HDQT-CKCT	May 31, 2024	Authorize , assign tasks and resolve work for members of the Board of Directors	100%
105	105/2024/NQ-HDQT-CKCT	June 03, 2024	Approval of the Policy on implementing the investment plan and secondary business of the Certificate of Deposit issued by Vietnam Joint Stock Commercial Bank for Industry and Trade	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
106	106/2024/NQ-HĐQT-CKCT	June 03, 2024	Approval of signing of Credit Facility Agreement	100%
107	107/2024/NQ-HĐQT-CKCT	June 03, 2024	Approval of the Policy, preliminary total investment (cost estimate) of the Project: Renovation and repair of the rented location as the new Headquarters of the Ho Chi Minh City Branch	100%
108	108/2024/NQ-HĐQT-CKCT	June 03, 2024	Add more clients and adjust margin lending rates for clients	100%
109	109/2024/NQ-HĐQT-CKCT	June 03, 2024	Approve the policy and budget for activities of sports clubs for Company employees	100%
110	110/2024/NQ-HĐQT-CKCT	June 04, 2024	Adjusting the trading plan for Fund Certificates	100%
111	111/2024/NQ-HĐQT-CKCT	June 05, 2024	Approve the content of the Draft Amendment and ratify the promulgation of the Regulations on Investment & Underwriting activities	100%
112	112/2024/NQ-HĐQT-CKCT	June 06, 2024	Approval of the Contractor Selection Plan for the Project: Procurement of MobileTrading and WebTrading software for VietinBank Securities	100%
113	113/2024/NQ-HĐQT-CKCT	June 07, 2024	Adjustment of loan volume and total loan limit for margin trading of stocks for clients	100%
114	114/2024/NQ-HĐQT-CKCT	June 10, 2024	Signing a contract for receivables management services through Account Identification with VietinBank - Thanh Xuan Branch (Transaction with related parties)	100%
115	115/2024/NQ-HĐQT-CKCT	June 11, 2024	Implement the contents at the Board of Directors meeting in June 2024	100%
116	116/2024/NQ-HĐQT-CKCT	June 11, 2024	Approval of business plan for bonds	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
117	117/2024/NQ-HDQT-CKCT	June 19, 2024	Approve trading plan for stocks	100%
118	118/2024/NQ-HDQT-CKCT	June 21, 2024	Adjustment of ceiling price reduction, initial margin ratio, margin lending interest rate for clients	100%
119	119/2024/NQ-HDQT-CKCT	June 21, 2024	Re-approve and adjust margin lending policies and advance payment limits for securities sales for clients.	100%
120	120/2024/NQ-HDQT-CKCT	June 24, 2024	Increase the advance payment limit for securities sales for clients	100%
121	121/2024/NQ-HDQT-CKCT	June 24, 2024	Adjustment of margin lending rates for clients	100%
122	122/2024/NQ-HDQT-CKCT	June 24, 2024	Approval of adjustment of trading plan for stocks	100%
123	123/2024/NQ-HDQT-CKCT	June 25, 2024	Approve the policy and budget for car rental service to pick up and drop off employees at the Company's Head Office	100%
124	124/2024/NQ-HDQT-CKCT	June 25, 2024	Approval of signing of the Loan Facility Agreement	100%
125	125/2024/NQ-HDQT-CKCT	June 25, 2024	Lending for margin trading of stocks and granting advance limits for securities sales to clients	100%
126	126/2024/NQ-HDQT-CKCT	June 26, 2024	Approval of signing of the Credit Facility Agreement Appendix	100%
127	127/2024/NQ-HDQT-CKCT	June 26, 2024	Approve the selection of an auditing unit to review/audit the Company's semi-annual/annual financial statements and financial safety ratio reports for 2024	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
128	128/2024/NQ-HDQT-CKCT	June 27, 2024	Approval of Investment and Business Plan for Certificates of Deposit issued by Vietnam Joint Stock Commercial Bank for Investment and Development	100%
129	129/2024/NQ-HDQT-CKCT	June 27, 2024	Approval of signing of Credit Facility Agreement at Vietnam Joint Stock Commercial Bank for Investment and Development - Thanh Xuan Branch	100%
130	130/2024/NQ-HDQT-CKCT	June 28, 2024	Approval of salary level adjustment for staff	100%
131	131/2024/NQ-HDQT-CKCT	June 28, 2024	Collaborator service fee rate applied in Quarter III/2024	100%
132	132/2024/NQ-HDQT-CKCT	June 28, 2024	Approval of revaluation price for stocks in the portfolio as of June 28, 2024	100%
133	133/2024/NQ-HDQT-CKCT	July 01, 2024	Personnel work	100%
134	134/2024/NQ-HDQT-CKCT	July 01, 2024	Approval of loan execution	100%
135	136/2024/NQ-HDQT-CKCT	July 01, 2024	Add margin trading lending clients	100%
136	137/2024/NQ-HDQT-CKCT	July 04, 2024	Adjustment of maintenance margin ratio and stock handling margin ratio for clients	100%
137	138/2024/NQ-HDQT-CKCT	July 04, 2024	Re-approve and adjust margin lending policies and advance payment limits for securities sales for clients	100%
138	139/2024/NQ-HDQT-CKCT	July 08, 2024	Adjustment of the list of securities for margin trading loans and renewal fees for customers	100%
139	140/2024/NQ-HDQT-CKCT	July 08, 2024	Approval of the policy of sending officials on business trips abroad	100%
140	141/2024/NQ-HDQT-CKCT	July 08, 2024	Approval of adjustment of the Contractor Selection Plan for the Project: Procurement of MobileTrading and WebTrading software for VietinBank Securities	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
141	142/2024/NQ-HĐQT-CKCT	July 08, 2024	Increase margin trading loan limit and advance payment limit for securities sales for clients	100%
142	143/2024/NQ-HĐQT-CKCT	July 09, 2024	Approval of trading plan for stocks	100%
143	144/2024/NQ-HĐQT-CKCT	July 19, 2024	Approval of the Policy to return part of the rented area of the Company's Headquarters at Building N02-T2 Diplomatic Corps Area	100%
144	144A/2024/NQ-HĐQT-CKC	July 19, 2024	Approval of the Policy and cost estimate for purchasing Microsoft Windows and Microsoft Office software licenses for Industry and Trade Securities Company	100%
145	145/2024/NQ-HĐQT-CKCT	July 23, 2024	Implement the contents at the Board of Directors meeting in July 2024	100%
146	146/2024/NQ-HĐQT-CKCT	July 25, 2024	Related party transactions	100%
147	147/2024/NQ-HĐQT-CKCT	July 26, 2024	Re-approve and adjust margin lending policies and advance payment limits for securities sales for customers	100%
148	148/2024/NQ-HĐQT-CKCT	July 26, 2024	Approval of signing of Credit Facility Agreement	100%
149	149/2024/NQ-HĐQT-CKCT	July 26, 2024	Approve cost estimates and select service suppliers for organizing business trips abroad	100%
150	150/2024/NQ-HĐQT-CKCT	July 29, 2024	Approving the Policy, Location and Budget Estimate for the Organization of the 6-month review of the first half of 2024, implementing the orientation of the Business Plan for the last 6 months of the year and the Conference of promoting cross-selling activities in the VietinBank ecosystem	100%
151	151/2024/NQ-HĐQT-CKCT	July 29, 2024	Approve the content of the draft amendment and ratify the promulgation of the Regulation on Functions and Tasks of Units under the Headquarters of Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
152	152/2024/NQ-HĐQT-CKCT	July 29, 2024	Approval of signing of Facility Agreement	100%
153	153/2024/NQ-HĐQT-CKCT	July 29, 2024	Add margin trading lending clients	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
154	154/2024/NQ-HDQT-CKCT	July 29, 2024	Establishment of the Board of Directors' Appraisal Council (Authorized Person)	100%
155	155/2024/NQ-HDQT-CKCT	July 29, 2024	Approve trading plan for stocks	100%
156	156/2024/NQ-HDQT-CKCT	July 29, 2024	Approve the plan for stocks	100%
157	157/2024/NQ-HDQT-CKCT	August 08, 2024	Related party transactions	100%
158	158/2024/NQ-HDQT-CKCT	August 08, 2024	Implement the contents at the Board of Directors meeting in August 2024	100%
159	159/2024/NQ-HDQT-CKCT	August 08, 2024	Approval of the draft amendment and approval of the promulgation of the Grassroots Democracy Regulations at the workplace of Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
160	160/2024/NQ-HDQT-CKCT	August 08, 2024	Approval of signing of Facility Agreement	100%
161	161/2024/NQ-HDQT-CKCT	August 08, 2024	Personnel work	100%
162	162/2024/NQ-HDQT-CKCT	August 09, 2024	Welfare Fund Expenditure	100%
163	163/2024/NQ-HDQT-CKCT	August 09, 2024	Adjusting some margin lending policies for clients	100%
164	164/2024/NQ-HDQT-CKCT	August 09, 2024	Re-approve and Adjust the margin lending policy and advance payment limit for securities sales for clients	100%
165	165/2024/NQ-HDQT-CKCT	August 12, 2024	Related party transactions	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
166	166/2024/NQ-HDQT-CKCT	August 12, 2024	Approval of the draft content and approval of the promulgation of the audited interim financial statements for the 6-month period ending June 30, 2024 of the Company	100%
167	167/2024/NQ-HDQT-CKCT	August 13, 2024	Increase margin trading loan limit and advance limit for securities sales for clients	100%
168	168/2024/NQ-HDQT-CKCT	August 13, 2024	Lending for margin trading of stocks and granting advance limits for securities sales to clients	100%
169	169/2024/NQ-HDQT-CKCT	August 13, 2024	Adjusting margin lending interest rates for clients	100%
170	170/2024/NQ-HDQT-CKCT	August 13, 2024	Re-approve and Adjust the margin lending policy and advance payment limit for securities sales for clients	100%
171	171/2024/NQ-HDQT-CKCT	August 13, 2024	Re-approve and Adjust the margin trading lending policy and advance payment limit for securities sales for clients	100%
172	172/2024/NQ-HDQT-CKCT	August 13, 2024	Personnel work	100%
173	173/2024/NQ-HDQT-CKCT	August 27, 2024	Re-approve and Adjust the margin lending policy and advance payment limit for securities sales for clients	100%
174	174/2024/NQ-HDQT-CKCT	August 27, 2024	Calculate the value of the right to receive stock dividends into the total assets of the clients' margin trading accounts	100%
175	175/2024/NQ-HDQT-CKCT	August 27, 2024	Approval of signing of Facility Agreement	100%
176	176/2024/NQ-HDQT-CKCT	August 27, 2024	Approval of signing of the Appendix amending and supplementing the Letter of Credit	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
177	177/2024/NQ-HDQT-CKCT	August 27, 2024	Approve trading plan for stocks	100%
178	178/2024/NQ-HDQT-CKCT	August 29, 2024	Approval of signing of the Appendix to the Credit Facility Agreement at Vietnam Thuong Tin Commercial Joint Stock Bank - Ho Chi Minh City Branch	100%
179	179/2024/NQ-HDQT-CKCT	August 29, 2024	Approval of signing of Document amending and supplementing the Credit Facility Agreement at Tien Phong Commercial Joint Stock Bank	100%
180	180/2024/NQ-HDQT-CKCT	August 29, 2024	Reward Fund	100%
181	181/2024/NQ-HDQT-CKCT	August 30, 2024	Approval of signing of Credit Facility Agreement	100%
182	182/2024/NQ-HDQT-CKCT	August 30, 2024	Re-approve and Adjust the margin lending policy and advance payment limit for securities sales for clients	100%
183	183/2024/NQ-HDQT-CKCT	August 30, 2024	Related party transactions	100%
184	184/2024/NQ-HDQT-CKCT	September 06, 2024	Stop applying margin trading loan policy and advance payment limit for securities sales for clients	100%
185	185/2024/NQ-HDQT-CKCT	September 06, 2024	Re-approve and adjust margin lending policies and advance payment limits for securities sales for customers	100%
186	186/2024/NQ-HDQT-CKCT	September 06, 2024	Approval of Feasibility Study Report for Bidding Package: Procurement of software copyright and information technology equipment for VietinBank Securities	100%
187	187/2024/NQ-HDQT-CKCT	September 06, 2024	Approval of the policy and cost of the Appreciation Program for staff of the Vietnam Joint Stock Commercial Bank for Industry and Trade Branch	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
188	188/2024/NQ-HDQT-CKCT	September 12, 2024	Approval of signing of credit facility agreement at Tien Phong Commercial Joint Stock Bank	100%
189	189/2024/NQ-HDQT-CKCT	September 12, 2024	Approval of signing of Facility Agreement Appendix	100%
190	190/2024/NQ-HDQT-CKCT	September 16, 2024	Approval of the Project: Renovation and repair of the rented location as the new Headoffices of the Ho Chi Minh City Branch	100%
191	191/2024/NQ-HDQT-CKCT	September 16, 2024	Personnel work	100%
192	192/2024/NQ-HDQT-CKCT	September 17, 2024	Approval of signing of Credit Facility Agreement	100%
193	193/2024/NQ-HDQT-CKCT	September 18, 2024	Approval of signing of Credit Agreement	100%
194	194/2024/NQ-HDQT-CKCT	September 19, 2024	Additional securities lending for margin trading for clients	100%
195	195/2024/NQ-HDQT-CKCT	September 23, 2024	Approval of signing of Facility Agreement Appendix	100%
196	196/2024/NQ-HDQT-CKCT	September 24, 2024	Increase margin trading loan limit, advance payment limit for securities sales and apply margin trading loan interest rate to clients	100%
197	197/2024/NQ-HDQT-CKCT	September 24, 2024	Approval of loan execution	100%
198	198/2024/NQ-HDQT-CKCT	September 25, 2024	Implement the contents at the Board of Directors meeting in September 2024	100%
199	199/2024/NQ-HDQT-CKCT	September 25, 2024	Related party transactions	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
200	200/2024/NQ-HDQT-CKCT	September 26, 2024	Approve the policy of signing contracts and transactions with related parties	100%
201	201/2024/NQ-HDQT-CKCT	September 27, 2024	Approval of signing of Facility Agreement at Asia Commercial Joint Stock Bank - Dinh Cong Branch	100%
202	202/2024/NQ-HDQT-CKCT	September 27, 2024	Approval of signing Facility Agreement at Vietnam Export Import Commercial Joint Stock Bank - Hanoi Branch	100%
203	203/2024/NQ-HDQT-CKCT	September 27, 2024	Approval of business plan for Bonds	100%
204	204/2024/NQ-HDQT-CKCT	September 30, 2024	Collaborator Service Fee Calculation Rate	100%
205	205/2024/NQ-HDQT-CKCT	September 30, 2024	Approval of revaluation price for stocks in the portfolio at September 30, 2024	100%
206	206/2024/NQ-HDQT-CKCT	October 04, 2024	Apply margin trading loan interest rates to clients	100%
207	207/2024/NQ-HDQT-CKCT	October 07, 2024	Approval of signing of the Loan Facility Agreement	100%
208	208/2024/NQ-HDQT-CKCT	October 07, 2024	Approval of the Issuer's proposal relating to the obligations under the Bonds	100%
209	209/2024/NQ-HDQT-CKCT	October 07, 2024	Approve the ranking, salary level, and salary adjustment for staff.	100%
210	210/2024/NQ-HDQT-CKCT	October 10, 2024	Personnel work	100%
211	211/2024/NQ-HDQT-CKCT	October 10, 2024	Approval of the policy to change the Company's Brand Name, Logo and Brand Identity	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
212	212/2024/NQ-HDQT-CKCT	October 10, 2024	Lending for margin trading loans and granting advance limits for securities sales to clients	100%
213	213/2024/NQ-HDQT-CKCT	October 10, 2024	Add customers, add securities for margin trading loans and adjust several margin trading loan policies for clients	100%
214	214/2024/NQ-HDQT-CKCT	October 10, 2024	Approve trading plan for stocks	100%
215	215/2024/NQ-HDQT-CKCT	October 11, 2024	Adjusting several margin lending policies for clients	100%
216	216/2024/NQ-HDQT-CKCT	October 21, 2024	Adjustment of initial margin ratio, margin trading loan limit and advance payment limit for securities sales for clients	100%
217	217/2024/NQ-HDQT-CKCT	October 22, 2024	Implement the contents at the Board of Directors meeting in October 2024	100%
218	218/2024/NQ-HDQT-CKCT	October 28, 2024	Approve the ranking and salary levels for staff	100%
219	219/2024/NQ-HDQT-CKCT	October 30, 2024	Approval of sale plan for shares	100%
220	220/2024/NQ-HDQT-CKCT	October 30, 2024	Approval of signing of the Agreement amending and supplementing the Credit Facility Agreement	100%
221	221/2024/NQ-HDQT-CKCT	October 30, 2024	Approval of the policy of periodic health examination in 2024 for employees and retired officials	100%
222	222/2024/NQ-HDQT-CKCT	November 04, 2024	Adjustment of stock ceiling price and total margin trading loan limit for clients	100%
223	223/2024/NQ-HDQT-CKCT	November 04, 2024	Additional securities lending for margin trading for clients	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
224	224/2024/NQ-HDQT-CKCT	November 05, 2024	Suspension of Labor Contracts for staff	100%
225	225/2024/NQ-HDQT-CKCT	November 05, 2024	Re-approve and adjust margin lending policy and advance payment limit for securities sales for clients	100%
226	226/2024/NQ-HDQT-CKCT	November 06, 2024	Implement the contents at the Board of Directors meeting in November 2024	100%
227	227/2024/NQ-HDQT-CKCT	November 07, 2024	Approval of signing of Loan Agreement at Vietnam Prosperity Joint Stock Commercial Bank	100%
228	228/2024/NQ-HDQT-CKCT	November 08, 2024	Personnel work	100%
229	229/2024/NQ-HDQT-CKCT	November 14, 2024	Add clients and increase the total limit for margin trading loans and advance payment limits for securities sales for clients.	100%
230	230/2024/NQ-HDQT-CKCT	November 15, 2024	Approving the proportion of FCOP indicators in the 2024 balanced scorecard	100%
231	231/2024/NQ-HDQT-CKCT	November 15, 2024	Adjusting some margin lending policies for clients	100%
232	232/2024/NQ-HDQT-CKCT	November 15, 2024	Adjusting some margin lending policies for clients	100%
233	233/2024/NQ-HDQT-CKCT	November 15, 2024	Personnel work	100%
234	234/2024/NQ-HDQT-CKCT	November 18, 2024	Change of Headoffices of Vietnam Joint Stock Commercial Bank for Industry and Trade Securities Company - Ho Chi Minh City Branch	100%
235	235/2024/NQ-HDQT-CKCT	November 18, 2024	Approve the action plan for the portfolio	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
236	236/2024/NQ-HDQT-CKCT	November 19, 2024	Adjustment of margin trading loan limit and advance payment limit for securities sales for clients	100%
237	237/2024/NQ-HDQT-CKCT	November 19, 2024	Approve the plan for stocks	100%
238	238/2024/NQ-HDQT-CKCT	November 21, 2024	Approve the plan for stocks	100%
239	239/2024/NQ-HDQT-CKCT	November 25, 2024	Approval of signing of Loan Facility Agreement at An Binh Commercial Joint Stock Bank - Hanoi Branch	100%
240	240/2024/NQ-HDQT-CKCT	November 26, 2024	Approval of the policy of selling part of the shares	100%
241	241/2024/NQ-HDQT-CKCT	November 26, 2024	Approving the content of the draft amendment, supplement and approval to promulgate the Regulation on organization and operation of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company.	100%
242	242/2024/NQ-HDQT-CKCT	November 28, 2024	Related party transactions	100%
243	243/2024/NQ-HDQT-CKCT	November 28, 2024	Reward Fund	100%
244	244/2024/NQ-HDQT-CKCT	November 29, 2024	Adjustment of margin trading loan limit and advance payment limit for securities sales for clients	100%
245	245/2024/NQ-HDQT-CKCT	December 05, 2024	Implement the contents at the Board of Directors meeting in December 2024	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
246	246/2024/NQ-HĐQT-CKCT	December 05, 2024	Approving the draft amendment, supplement and approval to promulgate the Financial Regulations of Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
247	247/2024/NQ-HĐQT-CKCT	December 05, 2024	Approve the detailed instructions on the Company's Financial Regulations and related issues	100%
248	248/2024/NQ-HĐQT-CKCT	December 06, 2024	Approval of share sale plan	100%
249	249/2024/NQ-HĐQT-CKCT	December 06, 2024	Approval of Feasibility Study Report for Bidding Package: Procurement of data backup system for Industry and Trade Securities Company	100%
250	250/2024/NQ-HĐQT-CKCT	December 11, 2024	Lending for margin trading of stocks and granting advance limits for securities sales to customers	100%
251	251/2024/NQ-HĐQT-CKCT	December 13, 2024	Approval of the Issuer's proposal relating the Bonds' Collateral in the Company's portfolio	100%
252	252/2024/NQ-HĐQT-CKCT	December 17, 2024	Personnel work	100%
253	253/2024/NQ-HĐQT-CKCT	December 18, 2024	Reward Fund	100%
254	254/2024/NQ-HĐQT-CKCT	December 18, 2024	Approval of the Draft and approval of the issuance of the amended and supplemented Regulations on Client Development Cooperation of Vietnam Bank for Industry and Trade Securities Joint Stock Company	100%
255	255/2024/NQ-HĐQT-CKCT	December 20, 2024	Approve the plan for stocks	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
256	256/2024/NQ-HĐQT-CKCT	December 24, 2024	Approve the policy and budget for purchasing Tet gifts with the Company logo printed on them to show gratitude to partners and clients on the occasion of the New Year 2025	100%
257	257/2024/NQ-HĐQT-CKCT	December 24, 2024	Approval of changes to the 2024 Internal Audit Plan	100%
258	258/2024/NQ-HĐQT-CKCT	December 26, 2024	Related party transactions	100%
259	259/2024/NQ-HĐQT-CKCT	December 26, 2024	Related party transactions	100%
260	260/2024/NQ-HĐQT-CKCT	December 27, 2024	Related party transactions	100%
261	261/2024/NQ-HĐQT-CKCT	December 31, 2024	Approval of Loan limit	100%
262	262/2024/NQ-HĐQT-CKCT	December 31, 2024	Approve the content of Authorization, assignment of tasks and work settlement for members of the Board of General Directors	100%
263	263/2024/NQ-HĐQT-CKCT	December 31, 2024	Collaborator Service Fee calculation rate applied in Quarter I/2025	100%
264	264/2024/NQ-HĐQT-CKCT	December 31, 2024	Approval of adjustment of implementation time for Microsoft Windows and Microsoft Office Software License Purchase of Industry and Trade Securities Company	100%
265	265/2024/NQ-HĐQT-CKCT	December 31, 2024	Approval of revaluation price for stocks in the portfolio as of December 31, 2024	100%
266	266/2024/NQ-HĐQT-CKCT	December 31, 2024	Risk Control Limits of 2025	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
267	267/2024/NQ-HDQT-CKCT	December 31, 2024	Approval of adjustment of business plan targets assigned to Units in 2024	100%
268	268/2024/NQ-HDQT-CKCT	December 31, 2024	Remuneration, salary/bonus and other benefits for members of the Board of Directors and Board of Supervisors in 2024	100%
269	269/2024/NQ-HDQT-CKCT	December 31, 2024	Personnel work	100%

III. Board of Supervisors (2024 Report)

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date of becoming/ceasing to be a member of the Board of Supervisors ⁽⁵⁾	Professional qualifications
1	Ms. Phan Thi Thu Hang	Head of Supervisory Board	Becoming member/Head of the Board of Supervisors from April 10, 2019.	Bachelor of Business Accounting
2	Ms. Lam Thi Thu Huong	Supervisor	Becoming member of the Board of Supervisors from June 16, 2014.	Bachelor of Banking and Finance
3	Ms. Tran Thi Kim Oanh	Supervisor	Becoming member of the Board of Supervisors from January 10, 2022.	Master of Economics

(5) The term of membership of the Board of Supervisors of Ms. Phan Thi Thu Hang, Ms. Lam Thi Thu Huong, Ms. Tran Thi Kim Oanh ends on April 9, 2024 (approved by the Company's General Meeting of Shareholders) and at the 2024 Annual General Meeting of Shareholders held on April 2, 2024 of the Company, the 2024 Annual General Meeting of Shareholders continued to elect Ms. Phan Thi Thu Hang, Ms. Lam Thi Thu Huong, Ms. Tran Thi Kim Oanh are the Company's Supervisors for a term of 05 (five) years from April 10, 2024 to April 9, 2029, then Ms. Phan Thi Thu Hang also continued to be elected by the Company's Board of Supervisors to hold the position of Head of the Supervisory Board for a term of 05 (five) years from April 10, 2024.

2. Meetings of Board of Supervisors:

No.	Members of the Board of Supervisors	Number of meetings attended	Meeting attendance rate	Voting rate	Reasons for absence
1	Ms Phan Thi Thu Hang	05/05	100%	100%	-
2	Ms Lam Thi Thu Huong	05/05	100%	100%	-
3	Ms Tran Thi Kim Oanh	05/05	100%	100%	-

3. Supervising Board of Directors, Board of General Directors and shareholders by Board of Supervisors

In 2024, the Board of Supervisors conducted 05 (five) meetings with the full participation of Supervisors to elect Head of the Supervisory Board, organize the implementation of functions and tasks according to the provisions of law, the Company Charter, and Regulations on organization and operation of the Supervisory Board

- The Head of the Board of Supervisors directly/authorized members of the Board of Supervisors to attend all meetings according to the meeting invitations of the Board of Directors and the Board of General Directors to grasp the actual business situation of the Company and contribute opinions in implementing the tasks and goals assigned by the General Meeting of Shareholders

The Board of Supervisors' specific recommendations, suggestions and notes which have an advisory nature were conveyed to the Board of Directors and the Board of General Directors through post-inspection recommendations, periodic monitoring reports, financial statements (FS) appraisal reports, opinions expressed at Board meetings and written recommendations/proposals directly/via email.

- In 2024, the Board of Supervisors performed the following main activities:

- ✓ Supervise the Board of Directors and General Director in managing and operating the Company;
- ✓ Check the rationality, legality, honesty, level of prudence in business management and operation, the systematicity, consistency and appropriateness of accounting, statistics and preparation of Financial Statements (FS);
- ✓ Supervise the Company's financial situation, compliance with the law by members of the Board of Directors, General Director, and other managers in their activities;
- ✓ Assess the completeness, legality and honesty of the income statements, 2023 financial statements and 2024 semi-annual financial statements;
- ✓ Review contracts and transactions with related parties under the approval authority of the Board of Directors and make recommendations on contracts and transactions requiring approval of the Board of Directors

- ✓ *Periodically supervise, deploy inspection teams to review and inspect the Company's activities. Evaluate the effectiveness and efficiency of the Company's internal control, risk management and early warning systems*
- ✓ *Conduct inspection, review and prepare periodic/ad hoc monitoring reports on the Company's activities from the major shareholder, Vietnam Joint Stock Commercial Bank for Industry and Trade.*

4. *The coordination among the Board of Supervisors, the Board of General Directors, the Board of Directors and other managers*

- The Board of Supervisors attends meetings of the Board of Directors and the Board of General Directors upon invitation. Basically, documents, reports and submissions of the Board of General Directors when sent to the Board of Directors for comments are also sent to the Board of Supervisors.
- The Board of Supervisors has closely coordinated with the Board of Directors, the Board of General Directors and other managers in performing functions, tasks and exchanging information on the principle of compliance with the law and internal regulations of the Company.
- Members of the Board of Directors, the Board of General Directors and other managers in the Company provide information and documents related to the Company's operations at the request of the Board of Supervisors.
- Based on the monitoring and inspection results, the Board of Supervisors has recommended measures to strengthen control, minimize risks, ensure compliance with legal regulations and internal regulations, contributing to enhancing the quality, efficiency and safety in the Company's operations. The Board of Directors and the Board of General Directors have reviewed and directed relevant individuals and units to implement the recommendations and proposals of the Board of Supervisors.

5. *Other activities of the Board of Supervisors:*

- Ratify direct comments at meetings, via email on the documents requesting opinions from the Board of General Directors sent to the Board of Directors, the Board of Supervisors has made specific recommendations and notes of an advisory nature on governance and risk management, supporting the Board of Directors and the Board of General Directors with additional reference information in decision making.
- The Board of Supervisors also contributed ideas to perfect the system of regulations and rules for the Company's activities to comply with relevant legal documents as well as the actual operations of the Company
- Strengthen training, supplement knowledge, improve the capacity of Supervisors to meet job requirements and ensure operational efficiency.

IV. Board of General Directors (2024 Report):

No.	Members of Board of General Directors	Date of birth	Qualification	Date of appointment/dismissal
1	Vu Duc Manh	February 12, 1981	Master of Business Administration	Appointed on November 11, 2021
2	Dang Anh Hao	September 15, 1984	Master of Money	Appointed on April 15, 2020
3	Pham Ngoc Hiep	May 03, 1978	Master of Banking and Finance	Appointed on November 18, 2019 (Reappointed on November 18, 2024)
4	Nguyen Tuan Anh	October 26, 1983	Master of Business Administration	Appointed on August 01, 2020
5	Tran Thi Ngoc Tai	June 5, 1982	Master of Business Administration	Appointed on March 31, 2022

V. Chief Accountant (2024 Report):

Full name	Date of birth	Qualification	Date of appointment/dismissal
Nguyen Thi Anh Thu	December 20, 1979	Master of Finance, Money Circulation and Credit	Appointed on September 22, 2020

VI. Training courses on corporate governance:

Corporate governance training courses attended by members of the Board of Directors, members of the Board of Supervisors, the General Director, other managers and the Company Secretary in accordance with regulations on corporate governance:

- Participate in specialized courses on securities, professional courses to obtain a practice certificate organized by the Center for Scientific Research and Securities Training of the State Securities Commission.
- Participate in training courses on administration and management organized by the Company; Strengthen researching and supplementing knowledge and skills on corporate governance based on the provisions of the Law on Enterprises, Law on Securities and related guiding documents.

VII. The list of affiliated persons of the Company and transactions of affiliated persons of the Company (2024 Report):

1. The list of affiliated persons of the Company:

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
I	Member of Board of Directors, Legal Representative										
1	Tran Phuc Vinh		Chairman of the Board of Directors; Legal representative					April 15, 2020		Acting CEO from April 15, 2020 to November 10, 2021; Member of the Board of Directors from April 27, 2020; Chairman of the Board of Directors from November 11, 2021.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Vu Duc Manh		Member of Board of Directors; General Director					November 11, 2021		General Director from November 11, 2021; Member of the Board of Directors from January 10, 2022.	Internal
3	Dang Anh Hao		Board Member; Deputy General Manager					April 15, 2020		Deputy General Director since April 15, 2020; Member of the Board of Directors since April 27, 2020.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
4	Ho Thi Thu Hien		Board Member					April 27, 2020		Chairman of the Board of Directors from April 27, 2020 to November 10, 2021; Member of the Board of Directors from November 11, 2021.	Internal
5	Nguyen Thi Thu Hang		Independent Member of Board of Directors					July 04, 2022	April 10, 2024	Independent member of the Board of Directors from July 4, 2022 to April 9, 2024.	Internal
6	Pham Thi Huyen Trang		Independent Member of Board of Directors					April 10, 2024		Independent member of the Board of Directors from April 10, 2024.	Internal
II	Member of the Board of Directors, Authorized person to disclose information										

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Vu Duc Manh		Member of Board of Directors; General Director					November 11, 2021		General Director from November 11, 2021; Member of the Board of Directors from January 10, 2022.	Internal
2	Dang Anh Hao		Board Member; Deputy General Manager					April 15, 2020		Deputy General Director since April 15, 2020; Member of the Board of Directors since April 27, 2020.	Internal
3	Pham Ngoc Hiep		Deputy General Manager					November 18, 2019		Deputy General Director since November 18, 2019.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
4	Nguyen Tuan Anh		Deputy General Manager					August 01, 2020		Deputy General Director from August 1, 2020.	Internal
5	Tran Thi Ngoc Tai		Deputy General Director; Authorized person to disclose information					March 31, 2022		Deputy General Director; Authorized person to disclose information from March 31, 2022.	Internal
III Members of the Board of Supervisors											
1	Phan Thi Thu Hang		Board Head					April 10, 2019		Head of the Board of Supervisors since April 10, 2019.	Internal
2	Lam Thi Thu Huong		Supervisor					June 16, 2014		Supervisor since June 16, 2014.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
3	Tran Thi Kim Oanh		Supervisor					January 10, 2022		Supervisor since January 10, 2022.	Internal
IV	Chief Accountant										
1	Nguyen Thi Anh Thu		Chief Accountant					September 22, 2020		Chief Accountant from September 22, 2020	Internal
V	Internal Audit Department										
1	Nguyen Thi Thuy Linh		Head of Internal Audit Department					May 20, 2020		Head of Internal Audit Department since May 20, 2020	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
VI	Company Secretary, Person incharge of Corporate Governance of the Company										
1	Le Mai Khanh		Deputy Chief of BOD assistant office; Person in charge of corporate governance					January 01, 2023		Person in charge of corporate governance of the Company from January 01, 2023	Internal
2	Pham Thi Thanh Mai		Company Secretary					January 15, 2023		Appointment of Company Secretary from January 15, 2023	Internal
VII	Other organizations and individuals are related parties.										
1	Vietnam Joint Stock Commercial Bank for Industry and Trade							September 01, 2000		Parent Bank, Major Shareholder owns 75.635% of voting shares	State shareholders, major shareholders

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:

In 2024, the Board of Directors promulgated the following Resolutions:

- Resolution No. 52/2024/NQ-HĐQT-CKCT dated March 27, 2024 approving the Company's signing of information confidentiality agreements (NDAs) with MUFG Bank Ltd., Hanoi City Branch (*MUFG Hanoi*) and MUFG Bank Ltd., Ho Chi Minh City Branch (*MUFG Ho Chi Minh City*) - Transactions with related parties.
- Resolution No. 114/2024/NQ-HĐQT-CKCT dated June 10, 2024 on Signing an Agreement for receivables management services through Account Identification with VietinBank Thanh Xuan Branch - Transactions with related parties.
- Resolution No. 146/2024/NQ-HĐQT-CKCT dated July 25, 2024 approving the Company's signing of the Agreement on Advisory of registration dossiers for offering Bonds, Registration Agent and Payment Agent, Advisory on the listing of Public Offering Bonds in 2024 of Vietnam Joint Stock Commercial Bank for Industry and Trade with VietinBank - Transactions with related parties.
- Resolution No. 157/2024/NQ-HĐQT-CKCT dated August 8, 2024 approving the Company to sign additional Appendix 09 attached to the Cooperation Contract on Providing payment account opening services, securities accounts and connecting/disconnecting payment accounts and online securities accounts for individual customers with Vietnam Joint Stock Commercial Bank for Industry and Trade - Transactions with related parties
- Resolution No. 165/2024/NQ-HĐQT-CKCT dated August 12, 2024 approving the Company's signing of a Framework Agreement for the purchase and sale of valuable papers and conducting transactions with Indovia Bank Limited (*IVB*) - Transactions with related parties.
- Resolution No. 183/2024/NQ-HĐQT-CKCT dated August 30, 2024 approving the signing of an office lease agreement between Vietnam Bank for Industry and Trade Securities Joint Stock Company - Da Nang Branch and the Representative Office of Vietnam Joint Stock Commercial Bank for Industry and Trade in Da Nang - Transactions with related parties.
- Resolution No. 199/2024/NQ-HĐQT-CKCT dated September 25, 2024 approving the Company's signing of the comprehensive VBICARE health insurance agreement with Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation (*VBI*) and/or VBI Branches - Transactions with related parties to implement a loyalty program for loyal customers on the occasion of the Company's birthday.
- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 approving the policy of signing agreements and transactions with related parties - Transactions with related parties
- Resolution No. 242/2024/NQ-HĐQT-CKCT dated November 28, 2024 approving the Company to sign additional Appendix 06 attached to VBI Care Health Insurance Contract No. 020.KD07.HD.CN.23.390901 dated December 1, 2023 with Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation - VietinBank Dong Do Insurance Company - Transactions with related parties.
- Resolution No. 258/2024/NQ-HĐQT-CKCT dated December 26, 2024 approving the Company's signing of the VBI Care Health Insurance Contract with Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation - VietinBank Dong Do Insurance Company - Transactions with related parties to buy health insurance for Company employees.

- Resolution No. 259/2024/NQ-HĐQT-CKCT dated December 26, 2024 approving the Company's signing of a Sales Contract with Vietnam Joint Stock Commercial Bank for Industry and Trade Gold and Jewellery Co., Ltd. - Transactions with related parties to implement a Tet gift shopping package to show gratitude to partners with the Company logo printed on the occasion of the new year 2025.
- Resolution No. 260/2024/NQ-HĐQT-CKCT dated December 27, 2024 approving the Company's signing of a Sales Contract with Vietnam Joint Stock Commercial Bank for Industry and Trade Gold and Jewellery Co., Ltd - Transactions with related parties to implement a Tet gift shopping package to show gratitude to partners with the Company logo printed on the occasion of the new year 2025.

Table of figures relating to transactions between The Company and its related parties, between the Company and its major shareholders, insiders, and its related parties of insiders

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽⁶⁾	Note
			NSH No.	Date of issue	Place of issue					
1.	Significant balances with related parties of the Company at the end of the period (December 31, 2024) and the beginning of the year (January 1, 2024):									
1.	Vietnam Joint Stock Commercial Bank for Industry and Trade	Major shareholder - Parent bank	0103038874 (currently 0100111948)	July 03, 2009	Hanoi Department of Planning and Investment	108 Tran Hung Dao - Cua Nam Ward - Hoan Kiem District - Hanoi City	2024	<div>- Minutes of the Board of Directors meeting on June 23, 2015.</div> <div>- Rescution No. 32/NQ-HĐQT-CKCT dated July 3, 2019; and No. 186/2022/NQ-HĐQT-CKCT dated December 22, 2022 (Ref: Transactions with related parties).</div> <div>- Rescution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approve the policy of signing contracts and transactions with related parties)</div>	<div>- Company's payment deposit:<div>+ Beginning balance: VND 99,196,673,758;</div><div>+ Ending balance: VND 84,009,740,384.</div></div> <div>- Investor's trading deposit:<div>+ Beginning balance: VND 795,109,883,282.</div><div>+ Ending balance: VND 556,798,332,281.</div></div> <div>- Receivables (interest on deposits, other receivables):<div>+ Beginning balance: VND 16,009,652</div><div>+ Ending balance: VND 15,600,884.</div></div> <div>- Vietinbank's Certificate of Deposit:<div>+ Beginning balance: VND 0</div><div>+ Ending balance: VND 175,500,000,000⁽⁷⁾.</div></div> <div>- Payable for securities trading activities:<div>+ Beginning balance: VND 103,363,398;</div><div>+ Ending balance: VND 7,480,096.</div></div> <div>- Accounts Payable:<div>+ Beginning balance: VND 604,747,416;</div><div>+ Ending balance: VND 604,747,416.</div></div> <div>- Unearned revenue:<div>+ Beginning balance: VND 563,256,151;</div><div>+ Ending balance: VND 346,397,427.</div></div>	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽⁶⁾	Note
			NSH No.	Date of issue	Place of issue					
2.	Vietnam Joint Stock Commercial Bank for Industry and Trade Fund Management Company Limited	Company with the same parent bank	50/UBCK-GP	October 26, 2010	State Securities Commission	6th Floor, Cua Nam Market Shopping Center, No. 34 Cua Nam, Hoan Kiem, Hanoi	2024	Resolution No. 200/2024/NQ-HDQT-CKCT dated September 26, 2024 (Ref: Approve the policy of signing contracts and transactions with related parties)	- Payable on securities trading deposits: + Beginning balance: VND 1,347,440,949; + Ending balance: VND 189,830,654.	

3.	Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation	Company with the same parent bank	21 GP/KDBH	December 12, 2002	Ministry of Finance	10th and 11th floors, 126 Doi Can building - Ba Dinh District - Hanoi	2024	<ul style="list-style-type: none"> - Resolution No.211/2023/NQ-HDQT-CKCT dated December 1, 2023 (ref: Transactions with related parties). - Resolution No. 200/2024/NQ-HDQT-CKCT dated September 26, 2024 (Ref: Approve the policy of signing contracts and transactions with related parties) - Resolution No.258/2024/NQ-HDQT-CKCT dated December 26, 2024 (ref: Transactions with related parties). 	<ul style="list-style-type: none"> - Prepaid insurance costs: <ul style="list-style-type: none"> + Beginning balance: VND 855,930,868; + Ending balance: VND 1,019,996,172. - Insurance fees receivable: <ul style="list-style-type: none"> + Beginning balance: VND 3,466,650; + Ending balance: VND 0. - Insurance fees payable: <ul style="list-style-type: none"> + Beginning balance: 0 VND; + Ending balance: VND 4,160,000.
II	Value of significant transactions of the Company with related parties in the 2024 accounting period from January 1, 2024 to December 31, 2024.								

1.	Vietnam Joint Stock Commercial Bank for Industry and Trade	Major shareholder - Parent bank	0103038874 (currently 0100111948)	July 03, 2009	Hanoi Department of Planning and Investment	108 Tran Hung Dao - Cua Nam Ward - Hoan Kiem District - Hanoi City	2024	<ul style="list-style-type: none"> - Minutes of the Board of Directors meeting on June 23, 2015. - Resolution No. 32/NQ-HDQT-CKCT dated July 3, 2019; and No. 186/2022/NQ-HDQT-CKCT dated December 22, 2022 (Ref: Transactions with related parties). - Resolution No. 36/2021/NQ-HDQT-CKCT dated June 29, 2021 (Ref: Renting Headoffice for Ho Chi Minh City Branch (Transactions with related parties). - Resolution No. 183/2024/NQ-HDQT-CKCT dated August 30, 2024 (v/v: Ref: Transactions with related parties) 	<ul style="list-style-type: none"> - Interest income from deposits: VND 1,482,443,973. - Interest expense on deposits: VND 1,349,237,431. - Revenue from Bond depository fees: VND 516,858,724. - Revenue from CTG shareholder's share certificates management fees: VND 50,000,000 - Revenue from bond listing advisory service fees: VND 340,000,000. - Other revenue: VND 24,308,455. - Office rental cost: VND 2,952,000,000 VND. - Brokerage and business cooperation commission costs: VND 2,219,707,840. - Transfer fee: VND 28,774,515. 	
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No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽⁶⁾	Note
			NSH No.	Date of issue	Place of issue					
								- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approve the policy of signing contracts and transactions with related parties)		
2.	Vietnam Joint Stock Commercial Bank for Industry and Trade Fund Management Company Limited	Company with the same parent bank	50/UBCK-GP	October 26, 2010	State Securities Commission	6th Floor, Cua Nam Market Shop-ping Center, No. 34 Cua Nam, Hoan Kiem, Hanoi	2024	- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approve the policy of signing contracts and transactions with related parties)	- Depository fee revenue: VND 20,318,257. - Deposit interest expense: VND 834,207.	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽⁶⁾	Note
			NSH No.	Date of issue	Place of issue					
3.	Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation (formerly Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Company Limited)	Company with the same parent bank	21 GP/KDBH	December 12, 2002	Ministry of Finance	10th and 11th floors, 126 Doi Can building - Ba Dinh District - Hanoi	2024	<ul style="list-style-type: none"> - Resolution No. 211/2023/NQ-HDQT-CKCT dated December 1, 2023 (regarding: Transactions with related parties) - Resolution No.199/2024/NQ-HDQT-CKCT dated September 25, 2024 (Ref: Transactions with related parties) - Resolution No.258/2024/NQ-HDQT-CKCT dated December 26, 2024 (ref: Transactions with related parties). 	<ul style="list-style-type: none"> - Insurance fees: VND 1,525,135,540. - Allocated Insurance cost: VND 1,080,132,295. 	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽⁶⁾	Note
			NSH No.	Date of issue	Place of issue					
4.	Vietnam Joint Stock Commercial Bank for Industry and Trade Gold and Jewellery Co., Ltd	Company with the same parent bank	0105011873	November 25, 2010	Hanoi Department of Planning and Investment	2nd Floor, Hoang Thanh Building, 114 Mai Hac De - Le Dai Hanh - Hai Ba Trung - Hanoi	2024	- Resolution No. 259/2024/NQ-HĐQT-CKCT dated December 26, 2024 (ref: Transactions with related parties) - Resolution No. 260/2024/NQ-HĐQT-CKCT dated December 27, 2024 (ref: Transactions with related parties)	- Goods purchase in period: VND 284,948,000	

(6) The figures stated in the above table at the time of preparing this Report are figures without comments from the Auditing Unit.

(7) Certificates of deposit issued by Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank) are purchased by the Company from other partners in the secondary market. The Company does not conduct direct transactions with VietinBank.

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None.

4. Transactions between the Company and other objects: None.

VIII. Share transactions of internal persons and their affiliated persons (2024 Report):

1. The list of internal persons and their affiliated persons at the end of the reporting period (December 31, 2024) ⁽⁸⁾:

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Tran Phuc Vinh		Chairman of the Board of Directors; Legal representative					0	0	Chairman of the Board of Directors, Legal Representative from November 11, 2021
1.01	Tran Van Thuc		None					0	0	Father of Mr. Tran Phuc Vinh
1.02	Nguyen Thi Ngoc Lan		None					0	0	Mother of Mr. Tran Phuc Vinh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.03	Bui Trong Quang		None					0	0	Father-in-law of Mr. Tran Phuc Vinh
1.04	Nguyen Thi Huong		None					0	0	Mother-in-law of Mr. Tran Phuc Vinh
1.05	Bui Thi Man		None					0	0	Wife of Mr. Tran Phuc Vinh
1.06	Tran Bao Ngan		None					0	0	Daughter of Mr. Tran Phuc Vinh
1.07	Tran Bao Tien		None					0	0	Daughter of Mr. Tran Phuc Vinh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.08	Tran Dang Quang		None					0	0	Younger brother of Mr. Tran Phuc Vinh
1.09	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)		None					112,498,833	75.635%	Mr. Tran Phuc Vinh is the representative of 40% of VietinBank's capital at the Company.

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2	Vu Duc Manh		Member of Board of Directors; General Director					0	0	Member of the Board of Directors from January 10, 2022; CEO from November 11, 2021
2.01	Vu Huu Dan		None					0	0	Father of Mr. Vu Duc Manh
2.02	Tong Thi Tuyet Mai		None					0	0	Mother of Mr. Vu Duc Manh
2.03	Nguyen Thi Yen		None					0	0	Mother-in-law Mr. Vu Duc Manh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.04	Le Thi Thu Thuy		None					0	0	Wife of Mr. Vu Duc Manh
2.05	Vu Thai Son		None					0	0	Son of Mr. Vu Duc Manh
2.06	Vu Thai Ngoc		None					0	0	Son of Mr. Vu Duc Manh
2.07	Vu Thi Thuy Linh		None					0	0	Younger sister of Mr. Vu Duc Manh
2.08	Nguyen Thanh Chung		None					0	0	Brother-in-law Mr. Vu Duc Manh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.09	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)		None					112,498,833	75.635%	Mr. Vu Duc Manh is the representative of 30% of VietinBank's capital at the Company.
3	Dang Anh Hao		Member of Board of Directors; Deputy General Director					0	0	Member of the Board of Directors from April 27, 2020; Deputy General Director from April 15, 2020
3.01	Dang Hien Hung		None					0	0	Father of Mr. Dang Anh Hao
3.02	Nguyen Thi Huong		None					0	0	Mother of Mr. Dang Anh Hao

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.03	Dao Thi Gai		None					0	0	Mother-in-law Mr. Dang Anh Hao
3.04	Le Thi Bich Lua		None					0	0	Mr. Dang Anh Hao's wife
3.05	Dang Anh Kiet		None					0	0	Son of Mr. Dang Anh Hao
3.06	Dang Anh Duy		None					0	0	Son of Mr. Dang Anh Hao
3.07	Dang Anh Vinh		None					0	0	Son of Mr. Dang Anh Hao
3.08	Dang Thi Phuong Thao		None					0	0	Sister of Mr. Dang Anh Hao

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.09	Nguyen Manh Cuong		None					0	0	Brother-in-law Mr. Dang Anh Hao
3.10	Dang Viet Dung		None					0	0	Brother of Mr. Dang Anh Hao
3.11	Nguyen Ha Quynh		None					0	0	Sister-in-law of Mr. Dang Anh Hao
3.12	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)		None					112,498,833	75.635%	Mr. Dang Anh Hao is the representative of 30% of VietinBank's capital at the Company.

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Ho Thi Thu Hien		Board Member					0	0	Member of Board of Directors from November 11, 2021
4.01	Quach Thanh		None					0	0	Father-in-law of Ms. Ho Thi Thu Hien
4.02	Nguyen Thi Dich		None					0	0	Mother-in-law of Ms. Ho Thi Thu Hien

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.03	Quach Gia Luong		None					0	0	Husband of Ms. Ho Thi Thu Hien
4.05	Quach Hoang Lam		None					0	0	Son of Ms. Ho Thi Thu Hien
4.06	Quach Thu Ha		None					0	0	Daughter of Ms. Ho Thi Thu Hien
4.07	Ho Manh Hung		None					0	0	Brother of Ms. Ho Thi Thu Hien
4.08	Ho Thi Nam Thanh		None					0	0	Sister of Ms. Ho Thi Thu Hien

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.09	Ho Thi Thu Chung		None					0	0	Sister of Ms. Ho Thi Thu Hien
4.10	Pham Thi Thu Huyen		None					0	0	Sister-in-law of Ms. Ho Thi Thu Hien
4.11	Nguyen Dinh Hong		None					0	0	Brother-in-law of Ms. Ho Thi Thu Hien
4.12	Vietnam Credit Information Joint Stock Company		None					0	0	Ms. Ho Thi Thu Hien is a member of the Board of Directors.
4.13	Saigon Port Joint Stock Company		None					0	0	Ms. Ho Thi Thu Hien is a member of the Board of Directors.

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5	Pham Thi Huyen Trang		Independent Member of Board of Directors					0	0	Independent member of the Board of Directors from April 10, 2024
5.01	Pham Xuan Nhan		None					0	0	Father of Ms. Pham Thi Huyen Trang
5.02	Nguyen Thi Mo		None					0	0	Mother of Ms. Pham Thi Huyen Trang
5.03	Hoang Thi Mai		None					0	0	Mother-in-law of Ms. Pham Thi Huyen Trang
5.04	Doan Quang Dac		None					0	0	Father-in-law of Ms. Pham Thi Huyen Trang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.05	Doan Ngoc Doan		None					0	0	Husband of Ms. Pham Thi Huyen Trang
5.06	Doan Yen Nhi		None					0	0	Daughter of Ms. Pham Thi Huyen Trang
5.07	Pham Thi Van Anh		None					0	0	Sister of Ms. Pham Thi Huyen Trang
5.08	Vu Chi Hieu		None					0	0	Brother-in-law of Ms. Pham Thi Huyen Trang
5.09	Pham Thi Huyen Le		None					0	0	Sister of Ms. Pham Thi Huyen Trang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.10	Pham Viet Hung		None					0	0	Sister-in-law of Ms. Pham Thi Huyen Trang
6	Nguyen Thi Thu Hang		Independent Member of Board of Directors					0	0	Independent member of the Board of Directors from July 4, 2022 to April 9, 2024
6.01	Nguyen Van Du		None					0	0	Husband of Ms. Nguyen Thi Thu Hang
6.02	Nguyen Thuy Quynh		None					0	0	Daughter of Ms. Nguyen Thi Thu Hang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.03	Nguyen Van Dang		None					0	0	Son of Ms. Nguyen Thi Thu Hang
6.04	Nguyen Van Khoa		None					0	0	Son of Ms. Nguyen Thi Thu Hang
6.05	Bui Thanh Tam		None					0	0	Sister-in-law of Ms. Nguyen Thi Thu Hang
6.06	Nguyen Thu Thuy		None					0	0	Sister of Ms. Nguyen Thi Thu Hang
6.07	Dang Khac Manh		None					0	0	Brother-in-law of Ms. Nguyen Thi Thu Hang
6.08	Dao Thanh Hong		None					0	0	Sister-in-law of Ms. Nguyen Thi Thu Hang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Pham Ngoc Hiep		Deputy General Manager					0	0	Deputy General Director since November 18, 2019
7.01	Pham Ngoc Dan		None					0	0	Father of Mr. Pham Ngoc Hiep
7.02	Do Thi Nga		None					0	0	Mother of Mr. Pham Ngoc Hiep
7.03	Pham Van Cu		None					0	0	Father-in-law of Mr. Pham Ngoc Hiep
7.04	Trinh Thi Yen		None					0	0	Mother-in-law of Mr. Pham Ngoc Hiep
7.05	Pham Thi Bich Nga		None					0	0	Wife of Mr. Pham Ngoc Hiep

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.06	Pham Bao Vy		None					0	0	Daughter of Mr. Pham Ngoc Hiep
7.07	Pham Khanh Ha		None					0	0	Daughter of Mr. Pham Ngoc Hiep
7.08	Pham Ngoc Dien		None					0	0	Younger brother of Mr. Pham Ngoc Hiep
7.09	Pham Thu Hien		None					0	0	Younger sister of Mr. Pham Ngoc Hiep
7.10	Nguyen Quoc Tuan		None					0	0	Brother-in-law Mr. Pham Ngoc Hiep

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.11	Nguyen Huyen Trang		None					0	0	Sister-in-law of Mr. Pham Ngoc Hiep
8	Nguyen Tuan Anh		Deputy General Manager					0	0	Deputy General Director from August 1, 2020
8.01	Nguyen Khac Binh		None					0	0	Father of Mr. Nguyen Tuan Anh
8.02	Le Thi Linh		None					0	0	Mother of Mr. Nguyen Tuan Anh
8.03	Nguyen Thi Hanh		None					0	0	Mother-in-law of Mr. Nguyen Tuan Anh
8.04	Do Thi Khanh Linh		None					0	0	Wife of Mr. Nguyen Tuan Anh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.05	Nguyen Linh Phuong		None					0	0	Daughter of Mr. Nguyen Tuan Anh
8.06	Nguyen Linh Chi		None					0	0	Daughter of Mr. Nguyen Tuan Anh
8.07	Nguyen Hong Van		None					0	0	Yonger sister of Mr. Nguyen Tuan Anh
9	Tran Thi Ngoc Tai		Deputy General Director; Authorized person to disclose information					0	0	Deputy General Director ; Authorized person to disclose information from March 31, 2022
9.01	Tran Van Tung		None					0	0	Father of Ms. Tran Thi Ngoc Tai

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.02	Nguyen Thi Dam		None					0	0	Mother of Ms. Tran Thi Ngoc Tai
9.03	Nguyen Ngoc Hung		None					0	0	Father-in-law of Ms. Tran Thi Ngoc Tai
9.04	Hoang Thi Lam		None					0	0	Mother-in-law Ms. Tran Thi Ngoc Tai
9.05	Nguyen Hoang Huy		None					0	0	Husband of Ms. Tran Thi Ngoc Tai
9.06	Nguyen Dang Quang		None					0	0	Son of Ms. Tran Thi Ngoc Tai

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.07	Nguyen Tue Minh		None					0	0	Son of Tran Thi Ngoc Tai
9.08	Tran Minh Duc		None					0	0	Brother of Ms. Tran Thi Ngoc Tai
9.09	Nguyen Van Anh		None					0	0	Sister-in-law of Ms. Tran Thi Ngoc Tai
10	Phan Thi Thu Hang		Head of Board of Supervisors					0	0	Head of Board of Supervisors since April 10, 2019
10.01	Phan Trong Duc		None					0	0	Father of Ms. Phan Thi Thu Hang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.02	Tran Thi Minh		None					0	0	Mother of Ms. Phan Thi Thu Hang
10.03	Nguyen Van Binh		None					0	0	Father-in-law of Ms. Phan Thi Thu Hang
10.04	Bui Thi The		None					0	0	Mother-in-law of Ms. Phan Thi Thu Hang
10.05	Nguyen Thanh Tuan		None					0	0	Husband of Ms. Phan Thi Thu Hang
10.06	Nguyen Phan Duc Anh		None					0	0	Son of Ms. Phan Thi Thu Hang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.07	Nguyen Phan Duy Anh		None					0	0	Son of Ms. Phan Thi Thu Hang
10.08	Phan Thi Minh Hong		None					0	0	Sister of Ms. Phan Thi Thu Hang
10.09	Nguyen Tuan Anh		None					0	0	Brother-in-law of Ms. Phan Thi Thu Hang
11	Lam Thi Thu Huong		Supervisor					0	0	Supervisor since June 16, 2014
11.01	Lam Van Thieu		None					0	0	Father of Ms. Lam Thi Thu Huong

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.02	Tran Thi Lien		None					0	0	Mother of Ms. Lam Thi Thu Huong
11.03	Tran Hai Dai		None					0	0	Father-in-law of Ms. Lam Thi Thu Huong
11.04	Tran Thi Lan		None					0	0	Mother-in-law of Ms. Lam Thi Thu Huong
11.05	Tran Hai Long		None					0	0	Husband of Ms. Lam Thi Thu Huong
11.06	Tran Quynh Chi		None					0	0	Daughter of Ms. Lam Thi Thu Huong
11.07	Tran Gia Hung		None					0	0	Son of Ms. Lam Thi Thu Huong

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.08	Lam Duc Thien		None					0	0	Younger brother of Ms. Lam Thi Thu Huong
11.09	Nguyen Thi Khuyen		None					0	0	Sister-in-law of Ms. Lam Thi Thu Huong
12	Tran Thi Kim Oanh		Supervisor					0	0	Supervisor since January 10, 2022
12.01	Tran Duc Tan		None					0	0	Father of Ms. Tran Thi Kim Oanh
12.02	Nguyen Thi Mai		None					0	0	Mother of Ms. Tran Thi Kim Oanh
12.03	Hoang Van Toai		None					0	0	Father-in-law of Ms. Tran Thi Kim Oanh

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12.04	Nguyen Thi Kim Thanh		None					0	0	Mother-in-law Ms. Tran Thi Kim Oanh
12.05	Tran Quoc Tuan		None					0	0	Brother of Ms. Tran Thi Kim Oanh
12.06	Tran Thi Thu Trang		None					0	0	Sister of Ms. Tran Thi Kim Oanh
12.07	Vu Minh Hien		None					0	0	Sister-in-law of Ms. Tran Thi Kim Oanh

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12.08	Nguyen Duc Trinh		None					0	0	Brother-in-law of Ms. Tran Thi Kim Oanh
12.09	Hoang Ngoc Anh		None					0	0	Husband of Ms. Tran Thi Kim Oanh
12.10	Hoang Lam Nguyen		None					0	0	Daughter of Ms. Tran Thi Kim Oanh
12.11	Hoang Nha Quyen		None					0	0	Daughter of Ms. Tran Thi Kim Oanh

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13	Nguyen Thi Thuy Linh		Head of Internal Audit Department					0	0	Head of Internal Audit Department since May 20, 2020
13.01	Nguyen Tri Dung		None					0	0	Father of Ms. Nguyen Thi Thuy Linh
13.02	Nguyen Thi Mui		None					0	0	Mother of Ms. Nguyen Thi Thuy Linh
13.03	Nguyen Chi Thanh		None					0	0	Younger brother of Ms. Nguyen Thi Thuy Linh
13.04	Ho Nhu Y		None					0	0	Sister-in-law of Ms. Nguyen Thi Thuy Linh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
14	Nguyen Thi Anh Thu		Chief Accountant					0	0	Chief Accountant from September 22, 2020
14.01	Duong Thi Phuong		None					0	0	Mother of Ms. Nguyen Thi Anh Thu
14.02	Tran Tien Dung		None					0	0	Husband of Ms. Nguyen Thi Anh Thu
14.03	Tran Hoang Minh		None					0	0	Son of Ms. Nguyen Thi Anh Thu
14.04	Tran Hoang Bach		None					0	0	Son of Ms. Nguyen Thi Anh Thu
14.05	Nguyen Duc Thang		None					0	0	Brother of Ms. Nguyen Thi Anh Thu

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
14.06	Nguyen Thi Thuy		None					0	0	Sister-in-law Nguyen Thi Anh Thu
14.07	Tran Van Hoan		None					0	0	Father-in-law of Ms. Nguyen Thi Anh Thu
14.08	Tran Thi Xuan		None					0	0	Mother-in-law Ms. Nguyen Thi Anh Thu

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15	Le Mai Khanh		Deputy Chief of BOD assistant office; Person in charge of corporate governance					0	0	In charge of Corporate governance of the Company since January 01, 2023
15.01	Le Van Kham		None					0	0	Father of Ms. Le Mai Khanh
15.02	Pham Thi Anh		None					0	0	Mother of Ms. Le Mai Khanh
15.03	Nguyen Thanh Huong		None					0	0	Mother-in-law Ms. Le Mai Khanh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15.04	Le Duy Khanh		None					0	0	Brother of Ms. Le Mai Khanh
15.05	Phan Dieu Tien		None					0	0	Sister-in-law of Ms. Le Mai Khanh
15.06	Nguyen Manh Toan		None					0	0	Husband of Ms. Le Mai Khanh
15.07	Nguyen Le Phuong		None					0	0	Daughter of Ms. Le Mai Khanh
16	Pham Thi Thanh Mai		Company Secretary					0	0	Company Secretary since January 15, 2023
16.01	Pham Dinh Lien		None					0	0	Father of Ms. Pham Thi Thanh Mai

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
16.02	Dao Thi Huong		None					0	0	Mother of Ms. Pham Thi Thanh Mai
16.03	Nguyen Huu Thai		None					0	0	Father-in-law of Ms. Pham Thi Thanh Mai
16.04	Nguyen Thi Cam Thach		None					0	0	Mother-in-law Ms. Pham Thi Thanh Mai
16.05	Nguyen Huu Son		None					0	0	Husband of Ms. Pham Thi Thanh Mai
16.06	Nguyen Nhat Minh		None					0	0	Son of Ms. Pham Thi Thanh Mai

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16.07	Nguyen Minh Khue		None					0	0	Daughter of Ms. Pham Thi Thanh Mai
16.08	Nguyen Pham Minh Thu		None					0	0	Daughter of Ms. Pham Thi Thanh Mai
16.09	Pham Anh Dung		None					0	0	Brother of Ms. Pham Thi Thanh Mai
16.10	Nguyen Thi Viet Tu		None					0	0	Sister-in-law of Ms. Pham Thi Thanh Mai

(8) For those being no longer insiders or related persons of insiders at the mid-reporting period, the relevant information of that insider or related person is finalized until the end of the day immediately preceding the day when the insider or a related person of that insider ceases to be an insider or related person of that insider.

2. Transactions of internal persons and affiliated persons with shares of the Company: None

IX. Other significant issues: None.

Respectfully report!

Recipients:

- As To
- Archived: Administrative Organization
Department, BOD Assistant Office.



REPRESENTATIVE OF BOD
CHAIRMAN

Tran Phuc Vinh

