

Số/No: 47/TB-CKCT01

Hà Nội, ngày 24 tháng 04 năm 2025

Hanoi, April 24, 2025

THÔNG BÁO THAY ĐỔI NHÂN SỰ
CHANGE IN PERSONNEL

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP.Hồ Chí Minh/ *Hochiminh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

Căn cứ Nghị quyết số 01/2025/NQ-ĐHĐCĐ-CKCT ngày 24/04/2025 của Đại hội đồng cổ đông thường niên 2025 Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam, Chúng tôi trân trọng thông báo việc thay đổi nhân sự của Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam như sau:

Based on Board Resolution No. 01/2025/NQ-DHDCD-CKCT dated April 24, 2025 of Vietnam Bank for Industry and Trade Securities Joint Stock Company. We would like to announce the change in personnel of Vietnam Bank for Industry and Trade Securities Joint Stock Company as follows:

Trường hợp bổ nhiệm/ In case of appointment:

1. Ông Phạm Việt Hùng/ Mr. Pham Viet Hung

- Chức vụ trước khi bổ nhiệm/ *Former position in the organization:* Không/ None
- Chức vụ được bổ nhiệm/ *Newly appointed position:* Thành viên độc lập HĐQT Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam/ *Independent member of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company*
- Thời hạn bổ nhiệm/ *Term:* Kể từ ngày 24/04/2025 đến hết ngày 09/04/2029/ *From April 24, 2025 to the end of April 09, 2029*
- Ngày bắt đầu có hiệu lực/ *Effective date:* 24/04/2025/ *April 24, 2025.*

2. Bà Bùi Thị Thanh Thúy/ Ms. Bui Thi Thanh Thuy

- Chức vụ trước khi bổ nhiệm/ *Former position in the organization:* Không/None
- Chức vụ được bổ nhiệm/ *Newly appointed position:* Thành viên HĐQT Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam/ *Member of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company.*
- Thời hạn bổ nhiệm/ *Term:* Kể từ ngày 24/04/2025 đến hết ngày 09/04/2029/ *From April 24, 2025 to the end of April 09, 2029.*
- Ngày bắt đầu có hiệu lực/ *Effective date:* 24/04/2025/ *April 24, 2025*



Trường hợp miễn nhiệm/từ nhiệm/ In case of dismissal/resignation:

1. Bà Phạm Thị Huyền Trang/ Ms. Pham Thi Huyen Trang

- Không còn đảm nhận chức vụ: Thành viên độc lập Hội đồng quản trị Công ty Cổ phần chứng khoán Ngân hàng Công thương Việt Nam/*Resigned position of Independent member of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company.*
- Lý do miễn nhiệm (nếu có)/ *Reason (if any):* Theo nguyện vọng cá nhân/ *According to personal wished.*
- Ngày bắt đầu có hiệu lực/ *Effective date:* 24/04/2025/ *April 24, 2025.*

2. Ông Đặng Anh Hào/ Mr. Dang Anh Hao

- Không còn đảm nhận chức vụ: Thành viên Hội đồng quản trị Công ty Cổ phần chứng khoán Ngân hàng Công thương Việt Nam/*Resigned position of Member of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company.*
- Lý do miễn nhiệm (nếu có)/ *Reason (if any):* Theo nguyện vọng cá nhân/ *According to personal wished.*
- Ngày bắt đầu có hiệu lực/ *Effective date:* 24/04/2025/ *April 24, 2025*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 24/04/2025 tại đường dẫn: <https://www.cts.vn/2025/04/24/vietinbank-securities-thong-bao-thay-doi-nhan-su-2/>

This information was published on the company's website on April 24, 2025, as in the link: <https://www.cts.vn/2025/04/24/vietinbank-securities-thong-bao-thay-doi-nhan-su-2/>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the above information is true and correct and we bear the full responsibility to the law.



Nơi nhận/ Recipients:

- Như trên/ *As above;*
- Lưu/Archives: TCHC/ *HR& Administration Department.*

Tài liệu kèm theo/ Attached documents:

Nghị quyết số 01/2025/NQ-ĐHĐCĐ-CKCT ngày 24/04/2025 của Đại hội đồng cổ đông thường niên 2025 Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam.

Resolution No. 01/2025/NQ-DHĐCĐ-CKCT on April 24, 2025 of 2025 AGM of Vietnam Bank for Industry and Trade Securities Joint Stock Company.

NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT

LEGAL REPRESENTATIVE

CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ

CHAIRMAN OF THE BOARD OF DIRECTORS



Trần Phúc Vinh/ Tran Phuc Vinh

No: 01/2025/NQ-DHDCD-CKCT

Hanoi, April 24, 2025

RESOLUTION
2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS

GENERAL MEETING OF SHAREHOLDERS
VIETNAM BANK FOR INDUSTRY AND TRADE SECURITIES
JOINT STOCK COMPANY

Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its amendments, supplements and guiding documents on implementation;

Pursuant to the Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, and its amendments, supplements and guiding documents on implementation;

*Pursuant to the Charter of Organization and Operation of Vietnam Bank for Industry and Trade Securities Joint Stock Company ("**The Company**");*

Pursuant to Regulation on Organization of 2025 Annual General Meeting of shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company passed by the General Meeting of Shareholders on April 24, 2025;

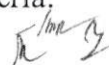
Pursuant to Meeting minutes of the 2025 Annual General Meeting of shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company on April 24, 2025;

RESOLVED:

Article 1. To approve the Board of Directors' (BOD) Report on the Company's business management in 2024, the governance and the performance of the BOD and its members in 2024, and the business orientation in 2025 (*Attached documents*).

Article 2. To approve the Board of Supervisors' (BOS) Report on its activities and the results of its assigned duties and powers in 2024, and orientation for 2025 (*Attached documents*).

Article 3. To approve the 2024 Financial Statements of the Company audited by Deloitte Vietnam Audit Company Limited with the following basic criteria;



Unit: VND

Items		Amount
1.	Total assets	8,698,121,752,031
2.	Liabilities	6,445,212,840,277
3.	Owners' equity, of which:	2,252,908,911,754
	- Share capital	1,487,383,110,000
	- Share premium	7,429,080,553
	- Treasury share	-
	- Operational risk and financial reserve	7,000,641,200
	- Undistributed profit	751,096,080,001
	+ Realized profit after tax	679,190,110,930
	+ Unrealized profit	71,905,969,071
	Total revenue	1,038,844,167,688
4.	(=Total operating income + Financial income + Other income)	
	Total expenses	751,452,642,910
5.	(=Total operating expenses + General and Administrative expenses + Financial expenses + Other expense)	
6.	Profit before tax	287,391,524,778
7.	Profit after tax (*)	231,086,774,298
7.1.	Realized profit	242,552,063,493
7.2.	Unrealized profit	(11,465,289,195)

(*) According to the accounting regime applicable to securities companies issued by the Ministry of Finance together with Circular No. 210/2014/TT-BTC dated December 30, 2014 and Circular No. 334/2016/TT-BTC dated December 27, 2016 amending and supplementing Circular No. 210/2014/TT-BTC.

Article 4. To approve the 2024 Profit Distribution Plan as follows:

Unit: VND

Items		Amount
1.	Profit after tax in 2024 according to Financial Statements (FS)	231,086,774,298
	<i>In which: Realized profit after tax in 2024 according to financial statements (**)</i>	242,552,063,493
2.	Bonus, Welfare Fund	24,604,000,000
3.	Realized profit after tax in 2024 remaining after appropriation to required fund	217,948,063,493

(**) The appropriation to the required fund is calculated based on the Company's realized profit after tax in 2024.

Article 5. To approve the 2025 Business Plan, Profits, and Dividends of the Company with the following basic criteria:

Unit: VND

Items	Amount
1. Profit before tax	297,400,000,000
2. Expected Dividend Yield (%)	9%

The General Meeting of Shareholders authorizes the BOD of Vietnam Joint Stock Commercial Bank for Industry and Trade Securities Joint Stock Company to proactively review, research, and adjust the business plan, profits, and dividends for 2025 in accordance with the actual situation and to disclose information as required by legal provisions.

Article 6. To approve the List of independent auditing firms and authorize the Company's BOD to decide on the selection of 01 (one) out of the 04 (four) independent auditing firms below to review/audit the Financial Statements and semi-annual/annual Financial Safety Ratio Report for 2025 of the Company, ensuring quality, efficiency at reasonable costs and in accordance with the provisions of law:

- Deloitte Vietnam Audit Company Limited;
- Ernst & Young Vietnam Limited;
- KPMG Limited;
- PwC (Vietnam) Limited.

Article 7. To approve the total remuneration, bonuses, and other benefits of the Board of Directors, and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors in 2025 as follows:

- Total remuneration, bonuses and other benefits of the Board of Directors, and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors of Vietnam Bank for Industry and Trade Securities Joint Stock Company in 2025: will be capped at a maximum 6% of the Company's profit after tax in 2025.
- The Board of Directors and the Board of Supervisors will determine the specific amounts and allocate them in accordance with the legal provisions and the regulations of the Company.

Article 8. To approve the Plan of increasing charter capital through the issuance of shares for dividend payments of the Company as follows:

8.1. Plan of increasing charter capital through the issuance of shares for dividend payments from undistributed after-tax profits by the end of 2024 of the Company:



❖ **Amount of incremental charter capital:**

- Charter capital at the time of issuance: VND 1,487,383,110,000
- Expected additional charter capital: VND 639,574,730,000
- Expected total charter capital after completing all shares issuance for dividend payments: VND 2,126,957,840,000

❖ **Plan to Increase Charter Capital through the Issuance of Shares for Dividend Payment:**

- Name of issued shares : Shares of Vietnam Bank for Industry and Trade Securities Joint Stock Company.
- Stock symbol : CTS
- Type of shares : Ordinary shares
- Par value : VND 10,000/share (Ten thousand dongs per share).
- Total issued shares : 148,738,311 shares.
- Number of treasury shares : 0 share.
- Number of outstanding shares : 148,738,311 shares.
- Number of expected issued shares : 63,957,473 shares.
- Expected total number of shares after completing all shares issuance for dividend payments : 212,695,784 shares.
- Estimated Total Issuance Value (at Par Value) : 639,574,730,000 VND.
(The number of shares to be issued and the total specific issuance value will be determined based on the number of outstanding shares as of the record date for exercising to receive stock dividends of the Company).
- Issuance ratio : 43%, corresponding to the right exercise ratio of 100 : 43
(The number of shares to be issued, total issuance value at par value, specific issuance ratio will be implemented in accordance to approval of the competent Authority).

- Issued to : Existing shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company, whose names are on the shareholders list as determined by Vietnam Securities Depository and Clearing Corporation as of the record date to exercise the right receive stock dividends in accordance with the approved issuance plan and in accordance with the provisions of law.
- Source of fund : Undistributed after-tax profits by the end of 2024 of the Company *(based on data in the audited 2024 financial statements) (Details in Appendix 01 attached)*.
- Issuance period : In 2025, the General Meeting of Shareholders (GMS) authorizes the Board of Directors (BOD) to decide on the specific issuance time after receiving approval from the competent authority.
- Completion time : The period for completing the increase in charter capital shall be implemented according to the provisions of the license/approval of the competent authority.
- Solution for dealing with fractional shares : The number of dividends shares to be received by each shareholder will be rounded down to the nearest whole share to ensure that the total number of distributed shares does not exceed the total number of shares to be issued. Any fractional shares (if any) will be canceled and not implemented, and the new charter capital will be registered based on the actual number of shares distributed.

For example: As of the record date for the stock dividend issuance, shareholder A owning 10 shares of the Company will receive 4.3 the number of newly issued dividends share, however, due to rounding down to the nearest whole share, shareholder A will actually receive 4 new shares and the remaining 0.3 fractional share(s) will be canceled.

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- Register additional securities, Supplementary Listing, and Admission to Trading : The additional issued shares will be registered for deposit at the Vietnam Securities Depository and Clearing Corporation and additionally listed at the Ho Chi Minh City Stock Exchange in accordance with current laws.
- Other contents : As stated in the Plan of increasing charter capital through shares issuance for dividend payments of the Company.

8.2. The General Meeting of Shareholders:

- Approve the *“Plan of increasing charter capital through shares issuance for dividend payments from undistributed after-tax profits by the end of 2024”* of the Company.
- Approve the amendment of the Company's Charter on Organization and Operation in accordance with the updated charter capital and the increased number of shares.
- Approve the registration of an additional securities depository at Vietnam Securities Depository and Clearing Corporation (VSDC), registration of additional listing of shares issued according to the above issuance plan at the Ho Chi Minh City Stock Exchange (HOSE).
- Approve the authorization of the General Meeting of Shareholders and assignment to the Company's Board of Directors:
 - + Decide, adjust and complete the Company's *“Plan of increasing charter capital through shares issuance for dividend payments”* based on the approval of the competent authority/request of the competent authority (if any).
 - + Approve the revised content, consolidate the full text, and promulgate the Charter of Organization and Operation of Vietnam Bank for Industry and Trade Securities Joint Stock Company in accordance with the updated charter capital and the increased number of shares.
 - + Decide, approve the contents and implement all necessary tasks and procedures to implement: increase charter capital, issue shares to pay dividends, change the Company's Establishment and Operation License and Business Registration Certificate, register additional securities at VSDC, register additional listing of shares at HOSE and other procedures as prescribed by law.
 - + Decide on other issues related to increasing the Company's charter capital.

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Article 9. To approve the Proposal on dismissal and additional election of the member to the Company's Board of Directors as follows:

- 9.1. Approval of the dismissal of Mr. Dang Anh Hao from the position of Member of the Board of Directors of the Company from April 24, 2025.
- 9.2. Approval of the dismissal of Ms. Pham Thi Huyen Trang from the position of Independent Member of the Company's Board of Directors from April 24, 2025.
- 9.3. Approval of the additional election of members of the Company's Board of Directors with the following contents:
 - The number of additional members to be elected to the Company's Board of Directors is 02 (two), including at least 01 (one) Independent Member of the Board of Directors.
 - The term of the additional members and the independent members of the Board of Directors shall start from April 24, 2025 to April 9, 2029.

Article 10. To approve the additional election of members of Vietnam Bank for Industry and Trade Securities Joint Stock Company's Board of Directors for the term starting from April 24, 2025 to April 9, 2029:

- Ms. Bui Thi Thanh Thuy – Member of Board of Directors.
- Mr. Pham Viet Hung – Independent Member of Board of Directors.

Article 11. Implementation Terms:

- 11.1. This Resolution was approved in full by the 2025 Annual General Meeting of Shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company and takes effect from April 24, 2025.
- 11.2. The members of the Board of Directors, Supervisors, and members of the Board of General Directors of the Company are responsible for implementing this Resolution and organizing the implementation of the Resolution in accordance with their functions and powers, based on compliance with the provisions of the Law and the Charter of Organization and Operation of Vietnam Bank for Industry and Trade Securities Joint Stock Company.

Recipients:

- As per Article 11;
- SSC, VNX, HSX, HNX;
- The Company's Website;
- Archived at: HR & Administration Department, BOD' Office.

**ON BEHALF OF GENERAL MEETING
OF SHAREHOLDERS**

CHAIRPERSON



Tran Phuc Vinh