PRINCIPLES AND REGULATIONS FOR VOTING

2025

The voting process for approving the Proposals, Resolutions, and agenda items of the 2025 Extraordinary General Meeting of Shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company ("EGM") held on November 18, 2025 shall be conducted in accordance with the following principles and regulations:

1. Delegates shall vote by raising their Voting Card when the Presiding Committee requests a vote on the following matters:
   - Approval of the Principles and Regulations for Voting at the EGM;
   - Approval of the election of the Presiding Committee, the Vote Counting Committee;
   - Approval of the Meeting Agenda;
   - Approval of the Meeting Organization Regulations;
   - Approval of the Regulation on the additional election of members of the Company's Board of Directors;
   - Approval of the Meeting Minutes, Draft Resolutions of the EGM;
   - Approval of other matters under the EGM's authorization in the event that the Voting Slip is not used (if any).
2. Delegates shall vote using a Voting Slip when the Presiding Committee requests a vote on the following matters:
   - Approval of the Proposal for Amending and supplementing the Charter on organization and operation, and Regulation on operation of the Board of Directors of the Company;
   - Approval of the Proposal for Changing the name of the Company;
   - Approval of the Proposal on dismissal and additional election of the members to the Company's Board of Directors;
   - Approval of other matters under the EGM's authorization in the event that do not require the use of the Voting Card (if any).
3. To facilitate and expedite vote counting, the EGM will use Voting Card and Voting Slip, which include information on the delegate's code, and the number of voting shares represented (one (01) shareholder/authorized representative equals one (01) vote). These Voting Cards and Voting Slips are pre-printed in the official format of the Company and bear the seal of Vietnam Bank for Industry and Trade Securities Joint Stock Company. Each shareholder/authorized representative attending the EGM

shall receive one (01) Voting Card and one (01) Voting Slip. The Voting Committee shall conduct the collection and counting of Voting Cards and Voting Slips.

4. The final voting results for "Agree", "Disagree", and "No comment" are the total number of votes counted from the corresponding Voting Slips and Voting Cards.

5. Regulations on Invalid Voting Slips:

   Voting Slip with any of the following elements will be considered invalid:

   - The Voting Slip is not issued by the EGM Organizing Committee in the required format;
   - The Voting Slip is torn, incomplete, or missing the required information necessary for vote counting;
   - The Voting Slip is left blank without opinions or contains multiple selections for a single voting item, or has been altered, erased, or modified, will be considered invalid.

These principles and regulations for voting shall take effect immediately upon approval by the 2025 Extraordinary General Meeting of Shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company held on November 18, 2025.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN

(Signed)

Tran Phuc Vinh

C.T.C.P TY ẦN HOÁN G THƯƠNG IAM T.P HÀ NỘI