



PROPOSAL FOR CHANGING THE NAME OF THE COMPANY

2025

Submit to: The General Meeting of Shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 was approved by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 ("**Law on Enterprise**"), and its amendments, supplements and guiding documents on implementation;
- Law on Securities No. 54/2019/QH14 was approved by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 ("**Law on Securities**"), and its amendments, supplements and guiding documents on implementation;
- Decree No. 155/2020/ND-CP on December 31, 2020 of the Government detailing the implementation of a number of Articles of the Law on Securities ("**Decree 155**");
- Decree No. 168/2025/ND-CP on June 30, 2025 of the Government on Enterprise Registration ("**Decree 168**");
- Circular No. 121/2020/TT-BTC on December 31, 2020 of the Ministry of Finance on the operation of securities companies ("**Circular 121**");

The **Board of Directors Vietnam Bank for Industry and Trade Securities Joint Stock Company ("the Company")** respectfully submits this Proposal to the General Meeting of Shareholders ("GMS") for consideration and approval of changing the Company name, as follows:

According to the current Establishment and Operation License, Business Registration Certificate, and the Charter of Organization and Operation of the Company, the full name of the Company is "*Vietnam Bank for Industry and Trade Securities Joint Stock Company*". The Company's trading names in both Vietnamese and English (including full and abbreviated forms) are based on this name, specifically:

- Company name in Vietnamese/Full Vietnamese name/Vietnamese trading name: **Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam**
- Company name in English/Full English name: **Vietnam Bank for Industry and Trade Securities Joint Stock Company**
- Abbreviated Vietnamese trading name: **Công ty Chứng khoán Công thương**
- Abbreviated English trading name: **VietinBank Securities**

The name "**Viet Nam Bank for Industry and Trade Securities Joint Stock Company**" has been the official name of the Company since its equitization in 2009. However, at present, this name reveals several limitations:



✓ **Excessive length:** The full Vietnamese name contains 12 words and the English version 11 words, which causes inconvenience in use, especially when filling out documents, contracts, reports, and in media materials such as signage, websites, or social media platforms.

✓ **Descriptive rather than brand-oriented:** The phrase “Ngân hàng Công thương Việt Nam” or “Vietnam Bank for Industry and Trade Securities Joint Stock Company” mainly describes the company’s origin, not its brand identity, and does not reflect the close linkage with the current “VietinBank” brand of the parent bank, especially in transactions with international partners who are familiar only with the “VietinBank” name.

✓ **Frequent confusion by clients and partners regarding the Company's name:** The current name is long and descriptive making it difficult for clients and partners to remember accurately; consequently, they often refer to the Company simply as CTS, its stock code, leading to inconsistency and weakening of brand recognition.

✓ **Not aligned with modern naming trends in the financial sector:** Leading securities companies now adopt concise and consistent naming conventions in the format “Securities Joint Stock Company + Parent Brand Abbreviation,” such as SSI, BIDV Securities, MBS, FPTS, etc.

Based on the above limitations and in order to enhance the consistency of brand communication within the **VietinBank** ecosystem, the Company proposes to change its name as follows:

- Full Vietnamese name: **Công ty Cổ phần Chứng khoán VietinBank**
- Full English name: **VietinBank Securities Joint Stock Company**
- Abbreviated name: **VBSE**

(The Company’s abbreviated Vietnamese name, VBSE, is consistent with the brand name approved by the Company’s Board of Directors).

Advantages of the changed name:

✓ **Concise and easy to remember:** The Vietnamese name is reduced from 12 to 7 words, and the English name from 11 to 5 words, making it easier to use in communication, documentation, contracts, reports, signage, and media materials, while improving memorability among clients and partners.

✓ **Stronger brand identity:** The new name clearly defines the brand, directly linked it with “VietinBank,” thereby inheriting the parent bank’s existing brand recognition and the VietinBank ecosystem, while also demonstrating modernization and agility in brand positioning.

✓ **Facilitates integrated brand identity and communication:** The new name supports consistent application in logo design, brand identity system, forms, materials, signage, and communication tools, enhancing professionalism and brand recognition both domestically and internationally.

✓ **Aligned with modernization and international integration trends in the financial market.**

Corresponding to the change of the Company's name, the relevant contents in Company's Charter on Organization and Operation, as well as all references to the Company name in the Company's Charter on Organization and Operation, Internal Regulation on Corporate Governance, Regulation on operation of the Board of Directors, and Regulation on organization and operation of the Board of Supervisors need to be amended and updated accordingly.

Accordingly, pursuant to the authority of the General Meeting of Shareholders under the Law on Enterprises and the Company's Charter, the Board of Directors respectfully submits to GMS for approval the following:

(i) Approval of changing the name of the Company as follows:

- Company Full Vietnamese name: **Công ty Cổ phần Chứng khoán VietinBank**
- Company Full English name: **VietinBank Securities Joint Stock Company**
- Abbreviated name: **VBSE**

(ii) Approval of amendments to Clause 1, Article 2 of the Company's Charter corresponding to the change of name as follows:

Amended Article/Clause	Current Charter Provision	Amended and supplemented Charter Provision
Clause 1, Article 2 – Name, legal forms, head office, operating network and term of operation of the Company	1. Company name: a. Company Full name in Vietnamese: Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam b. Company Full name in English: Vietnam Bank for Industry and Trade Securities Joint Stock Company c. Vietnamese transaction name: Công ty Cổ phần Chứng khoán Ngân hàng Công thương Việt Nam d. Abbreviated name in Vietnamese: Công ty Chứng khoán Công thương e. Abbreviated name in English: VietinBank Securities/Industry and Trade Securities Company f. Stock symbol: CTS	1. Company name: a. Company Full name in Vietnamese: Công ty Cổ phần Chứng khoán VietinBank b. Company Full name in English: VietinBank Securities Joint Stock Company c. Abbreviated name: VBSE d. Stock code: CTS

(iii) Approval of amendments to all references to the Company name in the Company's Charter on Organization and Operation, Internal Regulation on Corporate Governance,

Regulation on operation of the Board of Directors, and Regulation on organization and operation of the Board of Supervisors in accordance with the new Company name stated in item (i) above.

(iv) The General Meeting of Shareholders:

- Authorizes and assigns the Board of Directors/Supervisors of the Company to approve the amended, supplemented and fully consolidated versions according to items (ii) and (iii) above and promulgate the Company's Charter on Organization and Operation, Internal Regulation on Corporate Governance, Regulation on operation of the Board of Directors, and Regulation on organization and operation of the Board of Supervisors under the new Company name, after receiving approval from competent State authorities on changing the Company name as prescribed by the law.

- Authorizes and assigns the Board of Directors to decide, approve, and implement all necessary tasks and procedures related to the change of the Company's name, amendment of the Establishment and Operation License and Business Registration Certificate, and adjustment of relevant information with competent authorities in accordance with applicable laws.

Respectfully submitting to the General Meeting of Shareholders for approval.

Hanoi, October 28, 2025

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN**

(Signed)

Tran Phuc Vinh

