



**VIETINBANK SECURITIES
JOINT STOCK COMPANY**

No: /2026/NQ-ĐHĐCĐ-VBSE

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, April 21, 2026

RESOLUTION
2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

GENERAL MEETING OF SHAREHOLDERS
VIETINBANK SECURITIES JOINT STOCK COMPANY

Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its amendments, supplements and guiding documents on implementation;

Pursuant to the Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019, and its amendments, supplements and guiding documents on implementation;

Pursuant to the Charter of Organization and Operation of VietinBank Securities Joint Stock Company (“The Company”);

Pursuant to Regulation on Organization of 2026 Annual General Meeting of shareholders of VietinBank Securities Joint Stock Company passed by the General Meeting of Shareholders on April 21, 2026;

Pursuant to Meeting minutes of the 2026 Annual General Meeting of shareholders of VietinBank Securities Joint Stock Company on April 21, 2026.

RESOLVED:

Article 1. To approve the Board of Directors' (BOD) Report on the Company's business management in 2025, the governance and the performance of the BOD and its members in 2025, and the business orientation in 2026 (*Attached documents*).

Article 2. To approve the Board of Supervisors'(BOS) Report on its activities and the results of its assigned duties and powers in 2025, and orientation for 2026 (*Attached documents*).

Article 3. To approve the 2025 Financial Statements of the Company audited by PwC (Vietnam) Limited with the following basic criteria:

Unit: VND

Items	Amount
1. Total assets	12,410,698,820,601

Unit: VND

	Items	Amount
2.	Liabilities	9,555,262,431,087
3.	Owners' equity, of which:	2,855,436,389,514
	- Share capital	2,126,934,380,000
	- Share premium	7,415,080,553
	- Revaluation reserve	49,773,892,800
	- Financial risk and operational reserve	7,000,641,200
	- Undistributed profit	664,312,394,961
	+ Realized profit after tax	631,867,774,163
	+ Unrealized profit	32,444,620,798
	Total revenue and other income	2,099,147,842,062
4.	(=Total operating income + Financial income + Other income)	
	Total expenses	1,384,494,990,127
5.	(=Total operating expenses + Financial expenses + General and Administrative expenses + Other expenses)	
6.	Total Profit before tax	714,652,851,935
	+ Realized profit	768,601,967,436
	+ Unrealized profit	(53,949,115,501)
7.	Profit after tax	577,371,584,960

(According to the accounting regime applicable to securities companies issued by the Ministry of Finance together with Circular No. 210/2014/TT-BTC dated December 30, 2014 and Circular No. 334/2016/TT-BTC dated December 27, 2016 amending and supplementing Circular No. 210/2014/TT-BTC).

Article 4. To approve the 2025 Profit Distribution Plan, as follows:

Unit: VND

	Items	Amount
1.	Profit after tax in 2025 according to Financial Statements (FS)	577,371,584,960
	<i>In which: Realized profit after tax in 2025 according to FS (*)</i>	616,832,933,233
2.	Bonus, Welfare Fund	33,240,470,400
3.	Realized profit after tax in 2025 remaining after appropriation to required fund (**)	583,592,462,833

(*) The appropriation to the required fund is calculated based on the Company's realized profit after tax in 2025.

(**) The Company shall pay stock dividends from the undistributed realized profit after tax accumulated as of the end of 2025

Article 5. To approve the 2026 Business Plan, Profits, and Dividends of the Company with the following basic criteria:

Unit: VND

Items	Amount
1. Profit before tax	454,000,000,000
2. Expected Dividend Yield (%)	9%

The General Meeting of Shareholders authorizes the BOD of VietinBank Securities Joint Stock Company to proactively review, research, and adjust the business plan, profits, and dividends for 2026 of the Company in accordance with the actual situation and to disclose information as required by legal provisions.

Article 6. To approve the List of independent auditing firms and authorize the Company's BOD to decide on the selection of 01 (one) independent auditing firm from the list of auditing firms approved by the State Securities Commission to audit public interest entities in the securities sector in 2026 (*as per the attached list in the 2026 AGM proposal on selection of an independent auditor for 2026*), updated as of the time of selection, to review/audit the Financial Statements and semi-annual/annual Financial Safety Ratio Report of the Company for 2026, ensuring quality, efficiency at reasonable costs and in accordance with the provisions of law .

Article 7. To approve the total remuneration, bonuses, and other benefits of the Board of Directors, and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors in 2026 as follows:

- Total remuneration, bonuses and other benefits of the Board of Directors, and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors of VietinBank Securities Joint Stock Company in 2026: will be capped at a maximum 6% of the Company's profit after tax in 2026.
- The Board of Directors and the Board of Supervisors will determine the specific amounts and allocate them in accordance with the legal provisions and the regulations of the Company.

Article 8. To approve the Plan of increasing charter capital through the issuance of shares for dividend payments of the Company as follows:

8.1. Plan of increasing charter capital through the issuance of shares for dividend payments from undistributed after-tax profits by the end of 2025 of the Company:

❖ **Amount of incremental charter capital:**

- Charter capital at the time of issuance: VND 2,126,934,380,000

- Expected additional charter capital: VND 595,541,620,000
- Expected total charter capital after completing all shares issuance for dividend payments: VND 2,722,476,000,000

❖ **Plan of Issuance of Shares for Dividend Payment:**

- Name of issued shares : Shares of VietinBank Securities Joint Stock Company.
- Stock symbol : CTS
- Type of shares : Ordinary shares
- Par value : VND 10,000/share (Ten thousand dong per share).
- Total issued shares : 212,693,438 shares.
- Number of treasury shares : 0 share.
- Number of outstanding shares : 212,693,438 shares.
- Number of expected issued shares : 59,554,162 shares.
- Expected total number of shares after completing all shares issuance for dividend payments : 272,247,600 shares.
- Estimated Total Issuance Value (at Par Value) : 595,541,620,000 VND.
(The number of shares to be issued and the total specific issuance value will be determined based on the number of outstanding shares as of the record date for exercising to receive stock dividends of the Company).
- Issuance ratio : 28%, corresponding to the right exercise ratio of 100 : 28
(The number of shares to be issued, total issuance value at par value, specific issuance ratio will be implemented in accordance to approval of the competent Authority (if any)).
- Issued to : Existing shareholders of VietinBank Securities Joint Stock Company, whose names are on the shareholders list as determined by Vietnam Securities Depository and Clearing Corporation as of the record date to exercise the right

receive stock dividends in accordance with the approved issuance plan and in accordance with the provisions of law.

▪ Source of fund : Undistributed after-tax profits by the end of 2025 of the Company (*based on data in the audited 2025 financial statements*)

▪ Issuance period : In 2026.

The General Meeting of Shareholders (GMS) authorizes the Company's Board of Directors (BOD) to decide on the specific issuance time after receiving approval from the competent authority (*if any*).

▪ Completion time : The period for completing the increase in charter capital shall be implemented according to the provisions of the license/approval of the competent authority.

▪ Solution for dealing with fractional shares : The number of dividends shares to be received by each shareholder will be rounded down to the nearest whole share to ensure that the total number of distributed shares does not exceed the total number of shares to be issued. Any fractional shares (if any) will be canceled and not implemented, and the new charter capital will be registered based on the actual number of shares distributed.

For example: As of the record date for the stock dividend issuance, shareholder A owning 15 shares of the Company will receive 4.2 the number of newly issued dividends share, however, due to rounding down to the nearest whole share, shareholder A will actually receive 04 new shares and the remaining 0.2 fractional share(s) will be canceled.

▪ Adjustment of registered securities quantities, supplementary listings, and admission to trading : The additional shares issued shall be subject to adjustments to the registered securities quantity at the Vietnam Securities Depository and Clearing Corporation and additionally listed at the Ho Chi Minh City Stock Exchange in accordance with current laws.

▪ Other contents : As stated in the Plan of increasing charter capital through shares issuance for dividend payments of the Company.

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8.2. The General Meeting of Shareholders:

- Approve the *“Plan of increasing charter capital through shares issuance for dividend payments from undistributed after-tax profits by the end of 2025”* of the Company.
- Approve the amendment of the Company's Charter on Organization and Operation in accordance with the updated charter capital and the increased number of shares.
- Approve the adjustment of the registered securities quantity at Vietnam Securities Depository and Clearing Corporation (VSDC), registration of additional listing of shares issued according to the above issuance plan at the Ho Chi Minh City Stock Exchange (HOSE).
- Approve the authorization of the General Meeting of Shareholders and assignment to the Company's Board of Directors:
 - + Decide, adjust and complete the Company's *“Plan of increasing charter capital through shares issuance for dividend payments from undistributed realized after-tax profits as of the end of 2025”* based on the approval of the competent authority/request of the competent authority *(if any)*.
 - + Approve the revised content, consolidate the full text, and promulgate the Charter of Organization and Operation of the Company in accordance with the updated charter capital and the increased number of shares.
 - + Decide, approve the contents and implement all necessary tasks and procedures to implement: increase charter capital, issue shares to pay dividends, change the Company's Establishment and Operation License and Business Registration Certificate, adjustments to the registered securities quantity at VSDC, register additional listing of shares at HOSE and other procedures as prescribed by law.
 - + Decide on other issues related to increasing the Company's charter capital.

Article 9. To approve the amendments, supplements, and the full consolidated text of the Charter on Organization and Operation and the Board of Directors Operating Regulation of the Company, in accordance with the Proposal and the attached draft documents.

To authorize the competent person(s) to sign and issue the consolidated Charter on Organization and Operation and the Board of Directors Operating Regulation, incorporating all amendments and supplements approved by the General Meeting of Shareholders.

Article 10. To approve the Regulation on procedures and sequences for convening and voting at the Company's General Meeting of Shareholders, in accordance with the Proposal and the attached draft document.

To authorize the competent person(s) to sign and issue the Regulation on procedures and sequences for convening and voting at the Company's General Meeting of Shareholders approved by the General Meeting of Shareholders.

Article 11. Implementation Terms:

- 11.1. This Resolution was approved in full by the 2026 Annual General Meeting of Shareholders of VietinBank Securities Joint Stock Company and takes effect from April 21, 2026.
- 11.2. The members of the Board of Directors, Supervisors, and members of the Board of General Directors of the Company are responsible for implementing this Resolution and organizing the implementation of the Resolution in accordance with their functions and powers, based on compliance with the provisions of the Law and the Charter of Organization and Operation of VietinBank Securities Joint Stock Company.

Recipients:

- As per Article 11;
- SSC, VNX, HSX, HNX;
- The Company's Website;
- Archived at: HR & Administration Department, BOD' Office.

**ON BEHALF OF GENERAL MEETING
OF SHAREHOLDERS
CHAIRPERSON**

Tran Phuc Vinh

