

Số/No.: 54/2026/CV-VBSE01

Hà Nội, ngày 11 tháng 05 năm 2026

Hanoi, 11 May 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP.Hồ Chí Minh/ *HoChiMinh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: Công ty Cổ phần Chứng khoán VietinBank

The company name: VietinBank Securities Joint Stock Company

- Mã chứng khoán/ *Stock code: CTS*
- Địa chỉ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Đỉnh, Thành phố Hà Nội.
Address: 1st to 4th Floor, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi.
- Điện thoại liên hệ/ *Telephone: 024. 3974 1771* Fax: *024. 3974 1760*
- E-mail: congbothongtin@vbse.vn

2. Nội dung thông tin công bố/ *Content of Information Disclosure*

Công ty Cổ phần Chứng khoán VietinBank Công bố thông tin Nghị quyết Hội đồng quản trị số 72/2026/NQ-HĐQT-VBSE ngày 11/05/2026 về việc Đóng cửa Địa điểm kinh doanh Phòng Giao dịch Bà Triệu.

VietinBank Securities Joint Stock Company discloses the Board of Directors' Resolution No. 72/2026/NQ-HĐQT-VBSE dated 11 May 2026 regarding the closure of the Business Location of Ba Trieu Transaction Office.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 11/05/2026 tại đường dẫn: <https://www.vbse.vn/co-dong/vbse-cbtt-nghi-quyet-hoi-dong-quan-tri-ve-viec-dong-cua-dia-diem-kinh-doanh-phong-giao-dich-ba-trieu-vbse-disclosure-of-information-on-the-board-of-directors-resolution-regarding-the-closure/>

This information was published on the Company's website on 11 May 2026 as in the link: <https://www.vbse.vn/co-dong/vbse-cbtt-nghi-quyet-hoi-dong-quan-tri-ve-viec-dong-cua-dia-diem-kinh-doanh-phong-giao-dich-ba-trieu-vbse-disclosure-of-information-on-the-board-of-directors-resolution-regarding-the-closure/>



Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information disclosed above is true and accurate, and we shall be fully responsible before the law for the contents of the disclosed information.

Tài liệu đính kèm/ Attached documents:

Nghị quyết Hội đồng quản trị số 72/2026/NQ-HĐQT-VBSE ngày 11/05/2026 về việc Đóng cửa Địa điểm kinh doanh Phòng Giao dịch Bà Triệu.

The Board of Directors' Resolution No. 72/2026/NQ-HĐQT-VBSE dated 11 May 2026 regarding the closure of the Business Location of Ba Trieu Transaction Office.

ĐẠI DIỆN TỔ CHỨC

ORGANIZATION REPRESENTATIVE

NGƯỜI ĐƯỢC ỦY QUYỀN CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO DISCLOSE INFORMATION

PHÓ TỔNG GIÁM ĐỐC

DEPUTY GENERAL DIRECTOR



Trần Thị Ngọc Tài/ Tran Thi Ngoc Tai



Ban

No: 72/2026/NQ-HĐQT-VBSE

Hanoi, 11 May, 2026

RESOLUTION OF THE BOARD OF DIRECTORS

(Ref: the closure of Business Location of Ba Trieu Transaction Office)

**BOARD OF DIRECTORS OF VIETINBANK SECURITIES JOINT STOCK
COMPANY**

Pursuant to the Enterprise Law No. 59/2020/QH14 approved by the National Assembly on June 17, 2020 and its amending and guiding documents;

Pursuant to the Securities Law No. 54/2019/QH14 approved by the National Assembly on 26 November, 2019 and its amending and guiding documents;

Pursuant to the Charter of Organization and Operation, the Internal Regulations on Corporate Governance and Regulation on Operation of the Board of Directors of VietinBank Securities Joint Stock Company (The Company/VBSE);

Pursuant to Minutes of the Meeting of the Board of Directors (BOD) of the Company dated May 11 2026 regarding the closure of the Business Location of Ba Trieu Transaction Office.

RESOLVED THAT:

Article 1. The Board of Directors (BOD) unanimously approves the closure of VietinBank Securities Joint Stock Company – the Business Location of Ba Trieu Transaction Office in accordance with the proposals of the General Director set out in Submission No. 48/2026/TTr-TGD dated 6 May 2026 with the specific details as follows:

- Name of the transaction office, business location: VietinBank Securities Joint Stock Company – the Business Location of Ba Trieu Transaction Office
Decision No. 1004/QD-UBCK on the approval for establishment of the securities company's transaction office, issued by the State Securities Commission of Vietnam on 6 November 2023, and the Decisions amending the Decision on establishment of the securities company's transaction office issued by the State Securities Commission of Vietnam; and the Certificate of Registration of Business Location issued by the Business Registration and Corporate Finance Division – Hanoi Department of Finance on 2 April 2026.
- Address of the transaction office: 1st Floor, No. 306 Ba Trieu Street, Hai Ba Trung Ward, Hanoi City.

- Scope of operations: Supporting the Head Office in carrying out securities brokerage and securities investment advisory activities.
- Effective date of closure: From the date on which the Company obtains approval from the State Securities Commission of Vietnam for the closure of the Business Location of Ba Trieu Transaction Office.
- Plan for handling accounts/contracts entered into with clients and remaining valid at the Business Location of Ba Trieu Transaction Office: *As set out in the attached Appendix.*

Article 2. Implementation

- The General Director of the Company is assigned to proactively organize and implement all tasks relating to the closure of the Business Location of Ba Trieu Transaction Office as set out in Article 1 of this Resolution, ensuring full compliance with applicable laws and the internal regulations of VietinBank Securities Joint Stock Company.

Article 3. Enforcement Terms:

- 3.1. This Resolution takes effect from the date of signing.
- 3.2. Members of the Board of Directors, members of the Board of Management, Heads of Departments/Committees/Divisions at the Head Office and relevant Units and individuals at the VietinBank Securities Joint Stock Company shall be responsible for implementing this Resolution.

Nơi nhận:

- Member of BOD, member of BOM, member of BOS;
- HR & Administration Department
- Archives: HR & Administration Department, BOD Office.

ON BEHALF OF THE BOARD
OF DIRECTORS
CHAIRMAN



Tran Phuc Vinh

APPENDIX

PLAN FOR HANDLING ACCOUNTS/CONTRACTS ENTERED INTO WITH CLIENTS AND REMAINING VALID AT THE BUSINESS LOCATION OF BA TRIEU TRANSACTION OFFICE

1. Information on the Business Location of Ba Trieu Transaction Office:

VietinBank Securities Joint Stock Company – the Business Location of Ba Trieu Transaction Office (the “**Business Location of Ba Trieu Transaction Office**”) was established and operates pursuant to Decision No. 1004/QD-UBCK dated 6 November 2023 of the State Securities Commission of Vietnam, as amended by Decision No. 492/QD-UBCK dated 7 August 2025, Decision No. 998/QD-UBCK dated 15 December 2025, and Decision No. 175/QD-UBCK dated 23 March 2026; and the Certificate of Registration of Business Location dated 2 April 2026 issued by the Business Registration and Corporate Finance Division – Hanoi Department of Finance.

Address: 1st Floor, No. 306 Ba Trieu Street, Hai Ba Trung Ward, Hanoi City.

Scope of operations: Supporting the Head Office in carrying out securities brokerage and securities investment advisory activities.

Total number of accounts under the business location of Ba Trieu Transaction Office on the Company’s securities trading management system (as at 31 March 2026): 6,058 accounts.

No.	Description	Individuals		Organizations	
		Domestic	Foreign	Domestic	Foreign
1	Number of accounts	5,964	7	87	0
2	Cash balance (VND)	41,779,628,956	0	10,734,379,163	0
3	Expected amount to be received	41,779,628,956	0	10,734,379,163	0

(The figure in the table above shall be updated based on the actual figures at the time of handling the accounts and securities trading contracts with clients that remain valid upon the closure of the Business Location of Ba Trieu Transaction Office)

2. Plan for handling the accounts and securities trading contracts entered into with clients and remaining valid at the business location of Ba Trieu Transaction Office:

2.1. Information disclosure and notification to clients regarding the closure of the Business Location of Ba Trieu Transaction Office:

2.1.1. VBSE shall make extraordinary information disclosure within 24 hours in relation to the closure of the Business Location of Ba Trieu Transaction Office in accordance with Circular No. 96/2020/TT-BTC dated 16 November 2020 of the Ministry of Finance guiding information disclosure on the securities market, specifically as follows:

- The Board of Directors' Resolution on the closure of the Business Location of Ba Trieu Transaction Office.
- Upon receipt of the approval decision from the State Securities Commission of Vietnam regarding the closure of the Business Location of Ba Trieu Transaction Office.
- Upon receipt of the decision from the State Securities Commission of Vietnam revoking the decision on the establishment of the securities company's transaction office.
- Upon receipt of the approval from the Hanoi Department of Finance regarding the closure of the Business Location of Ba Trieu Transaction Office.
- At the same time, VBSE shall carry out other necessary information disclosure procedures in accordance with applicable laws (if any).

2.1.2. VBSE shall send notices to clients who have opened trading accounts, entered into securities trading contracts and other contracts, documents, agreements related to the use of services at VBSE with the Business Location of Ba Trieu Transaction Office, regarding the closure of the Business Location of Ba Trieu Transaction Office, including the following contents:

- The expected date of closure of the Business Location of Ba Trieu Transaction Office;
- The plan for handling the accounts and securities trading contracts with clients that remain valid at the branch (details are provided in Sections 2.2 and 2.3 below).

2.2. Plan for handling accounts and securities trading contracts opened or entered into with clients and remaining valid of the Business Location of Ba Trieu Transaction Office

VBSE shall send a notice of the proposed closure of the Business Location of Ba Trieu Transaction Office to all clients who opened accounts at the Business Location of Ba Trieu Transaction Office, and at the same time provide solutions for clients to proactively choose from within a minimum period of 15 days from the date of the notice, as follows:

- To ensure that the activities of clients who opened accounts at the Business Location of Ba Trieu Transaction Office continue smoothly and without interruption, VBSE shall transfer all current securities trading account data of such clients from the Business Location of Ba Trieu Transaction Office to the Company's Head Office for continued management. Accordingly, clients may:

✓ Directly conduct all transactions on their securities trading accounts at VietinBank Securities Joint Stock Company at the following address: Floors 01 to 04, N02-T2 Building, Diplomatic Corps Area, Xuan Dinh Ward, Hanoi City.

✓ Use online trading methods to place trading orders in accordance with the online trading service agreement entered into between the client and VBSE.

- Information on the specialized support unit and all other relevant information relating to the transfer of the account-managing unit and account closure.

2.3. Plan for handling other valid contracts entered into with clients of the Business Location of Ba Trieu Transaction Office

- For contracts that have been fully performed but for which the contract termination procedures have not yet been completed, the completion of such procedures shall be expedited.

- For contracts that have not yet been fully performed, discussions may be held with the counterparties regarding a temporary suspension period so that no service fees are payable if the Transaction Office does not need to use the services under such contracts during the closure period.

- For contracts that remain necessary, the relevant parties shall be notified of their transfer to the Head Office.

