

Số/No.: 155/2026/CV-VBSE01

Hà Nội, ngày 09 tháng 09 năm 2026  
Hanoi, September 09, 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG**  
**EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/ To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*  
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*  
- Sở Giao dịch Chứng khoán TP.Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*  
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: Công ty Cổ phần Chứng khoán VietinBank

*The company name: VietinBank Securities Joint Stock Company*

- Mã chứng khoán/ *Stock code: CTS*
- Địa chỉ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Đình, Thành phố Hà Nội.

*Address: 1<sup>st</sup> to 4<sup>th</sup> Floors, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi.*

- Điện thoại liên hệ/ *Telephone: 024. 3974 1771* Fax: *024. 3974 1760*
- E-mail: *congbothongtin@vbse.vn*

2. Nội dung thông tin công bố/ *Content of Information Disclosure*

Công ty Cổ phần Chứng khoán VietinBank công bố thông tin Nghị quyết Hội đồng quản trị số 151/2026/NQ-HĐQT-VBSE ngày 09/09/2026 về việc chấp thuận chủ trương ký hợp đồng, giao dịch với người có liên quan phát sinh trong năm 2026.

*VietinBank Securities Joint Stock Company discloses Resolution No. 151/2026/NQ-HĐQT-VBSE dated September 09, 2026 of the Board of Directors on the approval in principle for entering into contracts and transactions with related parties arising in 2026.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 09/09/2026 tại đường dẫn: <https://www.vbse.vn/co-dong/vbse-cbtt-nghi-quyet-hdqt-ve-viec-chap-thuan-chu-truong-ky-hop-dong-giao-dich-voi-nguoi-co-lien-quan-phat-sinh-trong-nam-2026-vbse-disclosure-of-information-on-the-board-of-directors-reso/>

*This information was published on the Company's website on September 09, 2026 as in the link: <https://www.vbse.vn/co-dong/vbse-cbtt-nghi-quyet-hdqt-ve-viec-chap-thuan-chu-truong-ky-hop-dong-giao-dich-voi-nguoi-co-lien-quan-phat-sinh-trong-nam-2026-vbse-disclosure-of-information-on-the-board-of-directors-reso/>*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.



*[Handwritten signatures]*

*We hereby certify that the information disclosed above is true and accurate, and we shall be fully responsible before the law for the contents of the disclosed information.*

**Tài liệu đính kèm/ Attached documents:**

- Nghị quyết Hội đồng quản trị số 151/2026/NQ-HĐQT-VBSE ngày 09/09/2026 về việc chấp thuận chủ trương ký hợp đồng, giao dịch với người có liên quan phát sinh trong năm 2026.

- Resolution No. 151/2026/NQ-HĐQT-VBSE dated September 09, 2026 of the Board of Directors on the approval in principle for entering into contracts and transactions with related parties arising in 2026.

**ĐẠI DIỆN TỔ CHỨC**  
**ORGANIZATION REPRESENTATIVE**  
**NGƯỜI ĐƯỢC ỦY QUYỀN CÔNG BỐ THÔNG TIN**  
**PERSON AUTHORIZED TO DISCLOSE INFORMATION**  
**PHÓ TỔNG GIÁM ĐỐC**  
**DEPUTY GENERAL DIRECTOR**



**Trần Thị Ngọc Tài/ Tran Thi Ngoc Tai**



No: 151/2026/NQ-HĐQT-VBSE

Hanoi, September 09, 2026

**RESOLUTION OF THE BOARD OF DIRECTORS**

*(Ref: Approval in principle for entering into contracts and transactions with related persons arising in 2026)*

**BOARD OF DIRECTORS OF  
VIETINBANK SECURITIES JOINT STOCK COMPANY**

*Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the National Assembly on June 17, 2020 and its amending, supplementing and guiding documents;*

*Pursuant to the Securities Law No. 54/2019/QH14 passed by the National Assembly on November 26, 2019 and its amending, supplementing and guiding documents;*

*Pursuant to the Charter on Organization and Operation, the Internal Regulations on Corporate Governance, and the Operating Regulations of the Board of Directors of VietinBank Securities Joint Stock Company (the "Company"/"VBSE");*

*Pursuant to the Minutes of the Collection of Written Opinions of Board of Directors' members dated September 09, 2026 on the Approval in principle for entering into contracts and transactions with related persons arising in 2026,*

**RESOLVED:**

**Article 1.** The Board of Directors (the "BOD") unanimously approves the Company entering into contracts and transactions with related persons arising from the effective date of this content until December 31, 2026, in accordance with the proposed contents of the General Director in Proposal No. 113/2026/TTr-TGD dated September 03, 2026, specifically as follows:

- Approve the Company entering into contracts and transactions with related parties arising from the effective date of this Resolution until December 31, 2026, including:

| No. | Counterparty/Related Party                                                               | Scope of Contracts/Transactions                    | Maximum cumulative transaction value in 2026 |
|-----|------------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------------------|
| 1   | Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank) and its Branches | - Deposit contracts/Certificates of deposit/Bonds; | VND 500 billion per contract type/year       |
|     |                                                                                          | - Business cooperation contracts;                  | VND 200 million/year                         |

*Board of Directors' Resolution No. 151/2026/NQ-HĐQT-VBSE dated September 09, 2026 on the Approval in principle for entering into contracts and transactions with related parties arising in 2026.*

| No. | Counterparty/Related Party                                                                                                                                     | Scope of Contracts/Transactions                                                                                                                                      | Maximum cumulative transaction value in 2026                                    |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
|     |                                                                                                                                                                | - Payment account opening and banking services contracts (payment services, registration, depository, transfer confirmation, etc.);                                  | VND 10 billion in taxes and fees arising per contract type/year                 |
|     |                                                                                                                                                                | - Fund certificate distribution agency contracts;                                                                                                                    | VND 200 million/year                                                            |
|     |                                                                                                                                                                | - Securities trading order authorization contracts.                                                                                                                  | VND 200 million/year                                                            |
| 2   | VietinBank Insurance Joint Stock Corporation and its Branches                                                                                                  | - Health insurance purchase contracts;                                                                                                                               | VND 200 million/year                                                            |
|     |                                                                                                                                                                | - Motor vehicle insurance and other insurance contracts.                                                                                                             | VND 300 million/year                                                            |
| 3   | Vietinbank Gold And Jewellery Trading Company Ltd                                                                                                              | Contracts for purchase of commodity products.                                                                                                                        | VND 200 million/year (excluding contracts for purchase/sale of valuable papers) |
| 4   | Branches, subsidiaries, joint-venture companies, and associate companies of VietinBank                                                                         | Information confidentiality agreements.                                                                                                                              | Not exceeding the scope of authority of the Board of Directors                  |
| 5   | VietinBank Debt and Asset Management Company Limited                                                                                                           | Valuation services.                                                                                                                                                  | VND 200 million/year                                                            |
| 6   | - Individuals who are insiders, persons related to insiders, or related parties of VietinBank Securities Joint Stock Company as defined under the Charter, the | - Contracts/agreements for use of products and services of VietinBank Securities Joint Stock Company.<br>- Contracts/agreements for purchase and sale of securities. | Not exceeding the scope of authority of the Board of Directors                  |

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TINBAN  
TINH - T.P.

Board of Directors' Resolution No. 151/2026/NQ-HDQT-VBSE dated September 09, 2026 on the Approval in principle for entering into contracts and transactions with related parties arising in 2026.

| No. | Counterparty/Related Party                                                     | Scope of Contracts/Transactions                          | Maximum cumulative transaction value in 2026 |
|-----|--------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------|
|     | Law on Enterprises and the Securities Law;<br>- Related parties of VietinBank. | - Other related contracts/agreements as provided by law. |                                              |

- To assign the General Director/Deputy General Director/Branch Director and individuals authorized by the Company's Legal Representative to proactively negotiate and sign the above contracts/agreements, together with any related appendices and accompanying documents (including any amendments, supplements, acceptance records, liquidation, termination, etc. of the above contracts, if any).

## Article 2. Organization of implementation:

- To assign the General Director to direct relevant Units and individuals to implement the contents of Article 1 of this Resolution in strict compliance with applicable law, the Charter on Organization and Operation, and the internal regulations of VietinBank Securities Joint Stock Company.

## Article 3. Enforcement Terms:

- 3.1. This Resolution takes effect from the date of signing. Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 of the Board of Directors shall cease to be effective from the effective date of this Resolution.
- 3.2. Members of the Board of Directors, members of the Executive Board, Heads of Departments and Units at the Head Office, and other relevant Units and individuals at VietinBank Securities Joint Stock Company are responsible for implementing this Resolution.



### Recipients:

- Members of BOD, BOS, Executive Board;
- The Company's Units;
- Archives: HR & Administration Dept., BOD Office.

ON BEHALF OF THE BOARD  
OF DIRECTORS  
CHAIRMAN



Tran Phuc Vinh

Board of Directors' Resolution No. 151/2026/NQ-HĐQT-VBSE dated September 09, 2026 on the Approval in principle for entering into contracts and transactions with related parties arising in 2026.